

# Toric matrix Schubert varieties

Laura Escobar

University of Illinois at Urbana-Champaign

Special Session on Commutative Algebra and Its Interactions with  
Combinatorics and Algebraic Geometry  
Fargo, ND

April 16, 2016

Joint work with Karola Mészáros (Cornell University)



- ▶ Toric and Schubert varieties are important examples of orbit closures in combinatorial algebraic geometry.
- ▶ Matrix Schubert varieties were introduced by Fulton to study degeneracy loci of flagged vector bundles.
- ▶ Knutson and Miller showed that Schubert polynomials are multidegrees of matrix Schubert varieties, and, using Gröbner bases and pipe dream complexes, studied the combinatorics of Schubert polynomials and determinant ideals building up on work by Fomin-Kirillov and Bergeron-Billey.
- ▶ There is a stratification of the flag variety by Schubert varieties and thus the cohomology of flag varieties is spanned by Schubert varieties.

In the first part of this talk I give a classification of the matrix Schubert varieties that are toric (with respect to a natural torus action).

# Matrices

## Notation

- ▶  $M_n = n \times n$  matrices over  $\mathbb{C}$ .
- ▶  $GL_n(\mathbb{C}) =$  invertible matrices in  $M_n$ .
- ▶  $B_+ =$  upper triangular matrices in  $M_n$ .
- ▶  $B_- =$  lower triangular matrices in  $M_n$ .

## Group action

- ▶ The multiplications  $XM$  with  $X \in B_-$  and  $M \in M_n$  correspond to downward row operations.
- ▶ The multiplications  $MY$  with  $Y \in B_+$  and  $M \in M_n$  correspond to rightward column operations.
- ▶ Let  $B_- \times B_+$  act on  $M_n$  by  $(X, Y) \cdot M := XMY^{-1}$ .  
This is indeed an action because  $(V, W) \cdot ((X, Y) \cdot M) = (VX)M(WY)^{-1} = (VX, WY) \cdot M$ .

## Permutation matrices

Given a permutation  $\pi \in S_n$ , we also denote by  $\pi$  its permutation matrix.

### Example

$\pi = [3, 1, 4, 2] \in S_4$  corresponds to the permutation matrix

$$\pi = \begin{bmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \end{bmatrix} \in GL_n(\mathbb{C})$$

We denote by  $B_- \pi B_+$  the  $B_- \times B_+$ -orbit of  $\pi$ .

For each  $M \in GL_n(\mathbb{C})$  there exists a unique  $\pi \in S_n$  such that  $M \in B_- \pi B_+$ .

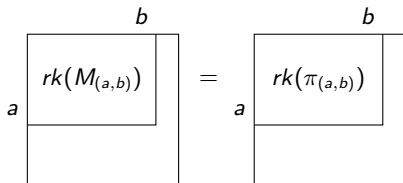
## Orbits of permutation matrices

Given a permutation  $\pi \in S_n$ , we also denote by  $\pi$  its permutation matrix.

For each  $M \in GL_n(\mathbb{C})$  there exists a unique  $\pi \in S_n$  such that  $M \in B_- \pi B_+$ .

### Criterion

$M \in B_- \pi B_+$  if and only if for all  $(a, b) \in [n]^2$ , the rank of  $M_{(a,b)}$  equals the number of 1's in the NW-most  $a \times b$ -rectangle in  $\pi$ .



# Matrix Schubert varieties

## Definition

A *matrix Schubert variety* is a  $(B_- \times B_+)$ -orbit closure  $\overline{X_\pi} := \overline{B_- \pi B_+} \subset M_n$ .

## Theorem (Fulton, '92)

$\overline{X_\pi}$  is an irreducible affine variety of dimension  $n^2 - \ell(\pi)$  and defined as a scheme by the  $n^2$  equations.

$$\begin{array}{c}
 \begin{array}{|c|} \hline \text{rk}(M_{(a,b)}) \\ \hline \end{array} \\
 \begin{array}{|c|} \hline \\ \hline \end{array} \\
 \hline
 \end{array}
 \leq
 \begin{array}{c}
 \begin{array}{|c|} \hline \text{rk}(\pi_{(a,b)}) \\ \hline \end{array} \\
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 \hline
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# Matrix Schubert varieties

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then

$$M = \begin{bmatrix} m_{(1,1)} & m_{(1,2)} & m_{(1,3)} & m_{(1,4)} \\ m_{(2,1)} & m_{(2,2)} & m_{(2,3)} & m_{(2,4)} \\ m_{(3,1)} & m_{(3,2)} & m_{(3,3)} & m_{(3,4)} \\ m_{(4,1)} & m_{(4,2)} & m_{(4,3)} & m_{(4,4)} \end{bmatrix} \in \overline{X}_{[3,1,4,2]}$$



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then

$$M = \begin{bmatrix} 0 & 1 & 2 & m_{(1,4)} \\ 0 & 3 & m_{(2,3)} & m_{(2,4)} \\ m_{(3,1)} & m_{(3,2)} & m_{(3,3)} & m_{(3,4)} \\ m_{(4,1)} & m_{(4,2)} & m_{(4,3)} & m_{(4,4)} \end{bmatrix} \in \overline{X}_{[3,1,4,2]}$$

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then

$$M = \begin{bmatrix} 0 & 1 & 2 & m_{(1,4)} \\ 0 & 3 & 6 & m_{(2,4)} \\ m_{(3,1)} & m_{(3,2)} & m_{(3,3)} & m_{(3,4)} \\ m_{(4,1)} & m_{(4,2)} & m_{(4,3)} & m_{(4,4)} \end{bmatrix} \in \overline{X}_{[3,1,4,2]}$$

## Fulton's essential set

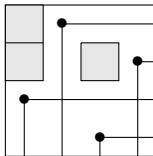
Some of the  $n^2$  rank equations are redundant. Fulton gave a set of at most  $\ell(\pi)$ -many equations that define  $\overline{X_\pi}$ .

### Definition

The *diagram*  $D(\pi)$  of  $\pi \in S_n$  consists of the entries in the  $n \times n$ -matrix that remain after we cross out the entries S and E of each 1 in the permutations matrix  $\pi$ .

### Example

$$D([3, 1, 4, 2]) = \{(1, 1), (2, 1), (2, 3)\}$$



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### Theorem (Fulton, '92)

*The ideal defining  $\overline{X_\pi}$  is generated by the equations*

$$\begin{array}{c}
 \begin{array}{|c|} \hline b \\ \hline \end{array} \\
 \begin{array}{|c|} \hline \text{rk}(M_{(a,b)}) \\ \hline \end{array} \\
 \begin{array}{|c|} \hline a \\ \hline \end{array}
 \end{array}
 \leq
 \begin{array}{c}
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 \begin{array}{|c|} \hline a \\ \hline \end{array}
 \end{array},$$

for  $(a, b) \in \text{Ess}(\pi) := \{\text{SE corners of } D(\pi)\}$ .



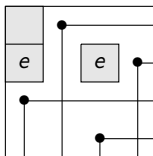
## Fulton's essential set

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### Definition

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### Example



$$\text{Ess}([3, 1, 4, 2]) = \{(2, 1), (2, 3)\} \text{ and}$$

$$\overline{X_\pi} = V(\langle x_{(1,1)}, x_{(2,1)}, x_{(1,2)}x_{(2,3)} - x_{(1,3)}x_{(2,2)} \rangle)$$

## Fulton's essential set

Theorem (Fulton, '92)

The ideal  $I_\pi$  defining  $\overline{X_\pi}$  is generated by the equations

$$\begin{array}{|c|} \hline \text{rk}(M_{(a,b)}) \\ \hline \end{array} \leq \begin{array}{|c|} \hline \text{rk}(\pi_{(a,b)}) \\ \hline \end{array},$$

$\begin{array}{|c|} \hline a \\ \hline \end{array}$ 
 $\begin{array}{|c|} \hline b \\ \hline \end{array}$

The diagram shows two square matrices. The left matrix has a smaller square in the top-left corner with the label  $\text{rk}(M_{(a,b)})$  inside. The right matrix has a smaller square in the top-left corner with the label  $\text{rk}(\pi_{(a,b)})$  inside. A less-than-or-equal-to symbol ( $\leq$ ) is placed between the two matrices. Above the right matrix, the letter  $b$  is written. To the left of the left matrix, the letter  $a$  is written. To the right of the right matrix, the letter  $a$  is written.

for  $(a, b) \in \text{Ess}(\pi) := \{\text{SE corners of } D(\pi)\}$ .

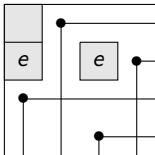
### Straightforward observations

- ▶ If  $(a, b)$  is in the connected component of  $(1, 1)$  in  $D(\pi)$  then  $m_{(a,b)} = 0$ .
- ▶ If  $(a, b)$  is not NW of any entry in  $D(\pi)$ , then  $m_{(a,b)}$  is free.

# Fulton's essential set

## Example

Given  $\pi = [3, 1, 4, 2] \in S_4$  with diagram and essential set



then

$$M = \begin{bmatrix} 0 & ? & ? & * \\ 0 & ? & ? & * \\ * & * & * & * \\ * & * & * & * \end{bmatrix} \in \overline{X}_{[3,1,4,2]}$$

here \* denotes a free entry

# Decomposition of $\overline{X_\pi}$

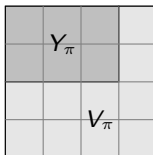
## Definition

Let  $Y_\pi$  be the variety obtained by restricting  $\overline{X_\pi}$  to the entries NW of some entry of  $D(\pi)$ .

Let  $V_\pi$  be the variety obtained by restricting  $\overline{X_\pi}$  to the entries not NW of any entry in  $D(\pi)$ .

## Example

Given  $\pi = [3, 1, 4, 2] \in S_4$ , then  $Y_\pi$  and  $V_\pi$  have the coordinates restricted to the entries in the shading region below



## Decomposition of $\overline{X_\pi}$

### Definition

Let  $Y_\pi$  be the variety obtained by restricting  $\overline{X_\pi}$  to the entries NW of some entry of  $D(\pi)$ .

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### Observations

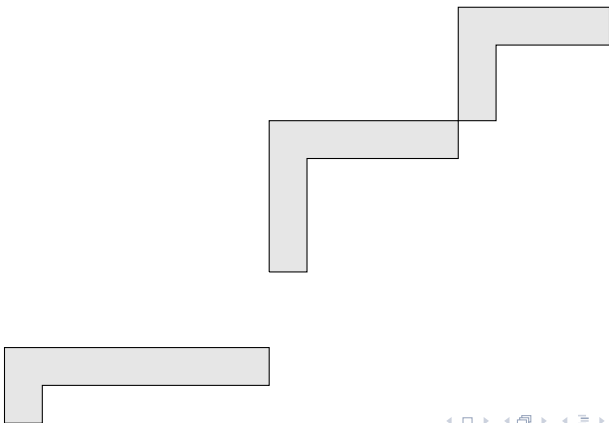
- ▶  $\overline{X_\pi} = Y_\pi \times V_\pi$
- ▶  $V_\pi = \mathbb{C}^{\text{something}}$
- ▶  $Y_\pi = V(I_\pi)$  with  $I_\pi \subset \mathbb{C}[x_{(i,j)} \mid (i,j) \text{ is NW of some entry of } D(\pi)]$ .
- ▶  $\dim(Y_\pi) = |NW(\pi)| - |D(\pi)|$ , where

$$NW(\pi) := \{\text{entries NW of some entry in } D(\pi)\}$$

## Toric matrix Schubert ideals

Theorem (E.-Mészáros, '15)

$Y_\pi$  is a toric variety (with respect to a natural torus) if and only if  $NW(\pi) - D(\pi)$  consists of disjoint hooks that do not share a row or a column with each other.



## Toric varieties

- ▶  $T^n =$  diagonal matrices in  $GL_n(\mathbb{C}) \subset B_- \cap B_+$ .
- ▶  $T^{2n} = T^n \times T^n$  acts on  $M_n$  by  $(X, Y) \cdot M = XMY^{-1}$ .

### Definition

a normal variety  $X$  is a *toric variety* with respect to a  $T$ -torus action, if  $X = \overline{T \cdot x}$  for some  $x \in X$ .

## When is $Y_\pi$ a toric variety?

- ▶ Since  $Y_\pi$  is an irreducible variety, if there exists an  $x \in Y_\pi$  such that  $\dim(\overline{T^{2n} \cdot x}) = \dim(Y_\pi)$ , then  $Y_\pi$  is a toric variety with respect to  $T^{2n}$ .
- ▶ Since  $\overline{T^{2n} \cdot x}$  is an affine toric variety, it corresponds to a cone. For  $x \in Y_\pi$  is a general point, the cone is spanned by the  $T$ -weights of the action.
- ▶  $\dim(\overline{T^{2n} \cdot x})$  equals the dimension of this cone.

### The cone for a general point $x \in Y_\pi$

Let  $e_1, \dots, e_n$  be the standard basis for  $\mathbb{R}^n \times 0$  and  $f_1, \dots, f_n$  be the standard basis for  $0 \times \mathbb{R}^n$ .

The cone corresponding to  $\overline{T^{2n} \cdot x}$  is spanned by the vectors  $e_i - f_j$  such that  $(i, j) \in NW(\pi)$  and not in the connected component of  $(1, 1)$ .



## When is $Y_\pi$ a toric variety?

Theorem (E.-Mészáros, '15)

$Y_\pi$  is a toric variety (with respect to a natural torus) if and only if  $NW(\pi) - D(\pi)$  consists of disjoint hooks that do not share a row or a column with each other.

Corollary

If  $NW(\pi) - D(\pi)$  is a hook, then  $Y_\pi$  is a toric variety with respect to  $T^{2n}$ .

## When is $Y_\pi$ a toric variety?

### Corollary

If  $NW(\pi) - D(\pi)$  is a hook, then  $Y_\pi$  is a toric variety with respect to  $T^{2n}$ .

### Proof.

Since  $\dim(Y_\pi)$  equals the size of the hook, it suffices to show that the dimension of the cone equals the size of the hook. The vectors corresponding to the entries of the hook are

$$\begin{array}{cccc} e_i - f_j & e_i - f_{j+c} & \cdots & e_i - f_{j+1} \\ e_{i+1} - f_j & & & \\ \vdots & & & \\ e_{i+r} - f_j & & & \end{array}$$

and these vectors are linearly independent. Thus the dimension of the cone is at least the size of the hook and so it follows that  $Y_\pi = \overline{T^{2n} \cdot x}$  for a general  $x \in Y_\pi$ .



## Root polytopes and cone( $Y_\pi$ )

Recall the cone for a general point  $x \in Y_\pi$

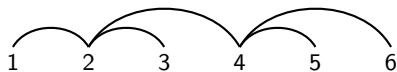
The cone corresponding to  $\overline{T^{2n} \cdot x}$  is spanned by the vectors  $e_i - f_j$  such that  $(i, j) \in NW(\pi)$  and not in the connected component of  $(1, 1)$ .

We now relate the polytope

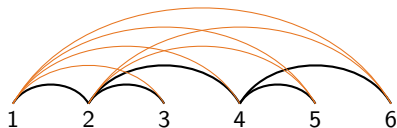
$\Phi(Y_\pi) := \text{convexhull}\{e_i - f_j \mid (i, j) \in NW(\pi) - (\text{conn component of } (1, 1))\}$  to acyclic root polytopes.

## Root polytopes

Given an acyclic graph  $\gamma = (E(\gamma), V(\gamma))$ ,



take its transitive closure  $\bar{\gamma}$

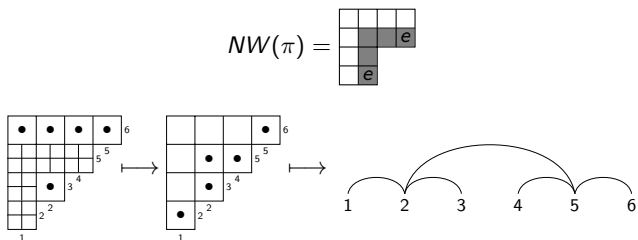


The *root polytope* of an acyclic graph  $\gamma$  is  $\text{convexhull}\{0, e_i - e_j \mid (i, j) \in E(\bar{\gamma})\}$ .

# Root polytopes and $\Phi(Y_\pi)$

## Example

Let  $\pi = [1, 5, 3, 4, 2]$ , then we obtain a graph  $\gamma_\pi$  associated to  $\pi$  by the following procedure:



## Theorem (E.-Mészáros, '15)

Suppose that  $\pi(1) = 1$ , then the root polytope of  $\gamma_\pi$  is obtained from  $\Phi(Y_\pi) = \text{convexhull}\{e_i - f_j \mid (i, j) \in NW(\pi) - (\text{conn component of } (1, 1))\}$  by setting  $e_i = f_j$  for all  $(i, j) \in \text{Ess}(\pi)$ .

## Pipe dream complexes via triangulations of root polytopes

Canonical triangulations of root polytopes, defined by Mészáros, give regular triangulations of the polytopes

$$\Phi(Y_\pi) = \text{convexhull}\{e_i - f_j \mid (i, j) \in \text{NW}(\pi) - (\text{conn component of } (1, 1))\}.$$

These triangulations realize pipe dream complexes when  $\pi = 1\pi'$  with  $\pi'$  dominant.

**Theorem (E.-Mészáros, 2015)**

*Let  $\Delta_1, \dots, \Delta_k$  be the top dimensional simplices in the canonical triangulation of  $P(\gamma_\pi)$ , the root polytope of  $\gamma_\pi$ , for  $\pi = 1\pi'$ , where  $\pi'$  is dominant. Then the preimages of these simplices under the map*

$$\Phi(Y_\pi) \rightarrow P(\gamma_\pi), \text{ set } e_i = f_j \text{ for } (i, j) \in \text{Ess}(\pi)$$

*are the top dimensional simplices in a triangulation of  $\Phi(\mathbb{P}(Y_\pi))$  which yields geometric realization of the pipe dream complex of  $\pi$ .*

Thank you!