

Invariants of non-unique factorization

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November 21, 2014

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First half: Catenary degree (combinatorial)

Joint with Vadim Ponomarenko, Reuben Tate*, and Gautam Webb*

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Second half: ω -primality (algebraic)

Joint with Thomas Barron* and Roberto Pelayo

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Definition

An integral domain R is *factorial* if for each non-unit $r \in R$,

- 1 there is a *factorization* $r = u_1 \cdots u_k$ as a product of irreducibles, and
- 2 this factorization is unique (up to reordering and unit multiple).

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The point: it's complicated.

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Factorization invariants: towards the catenary degree

Definition

Fix a numerical monoid $S = \langle n_1, \dots, n_k \rangle$. For $n \in S$,

$$Z_S(n) = \{(a_1, \dots, a_k) \in \mathbb{N}^k : n = a_1 n_1 + \dots + a_k n_k\}$$

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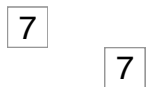
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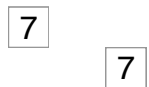
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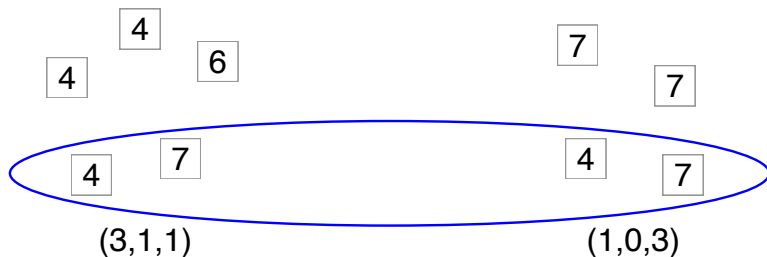
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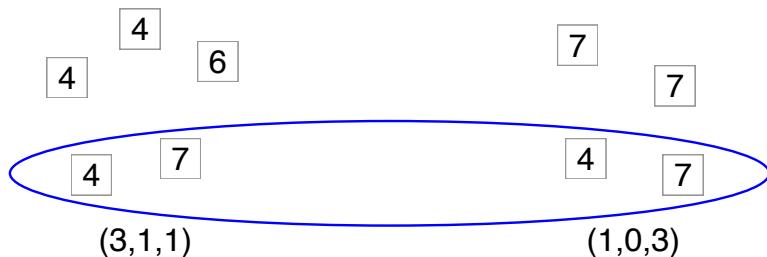


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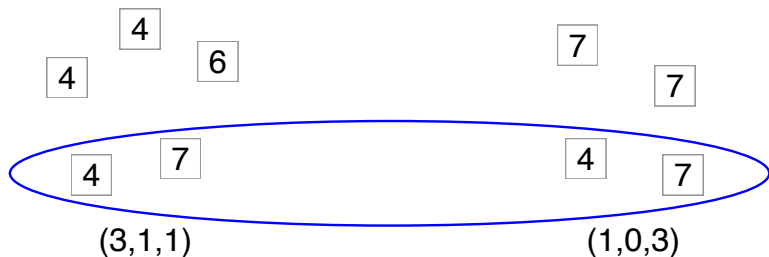


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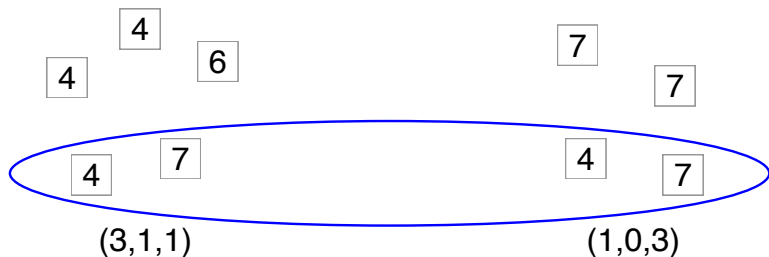


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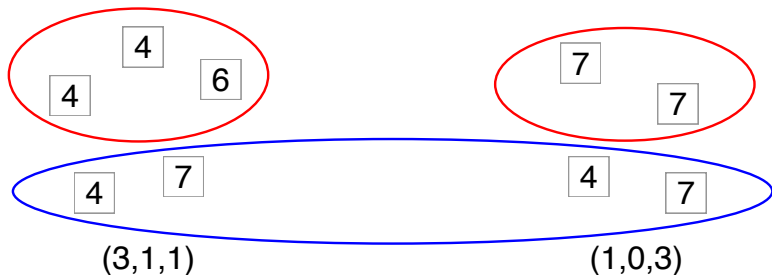


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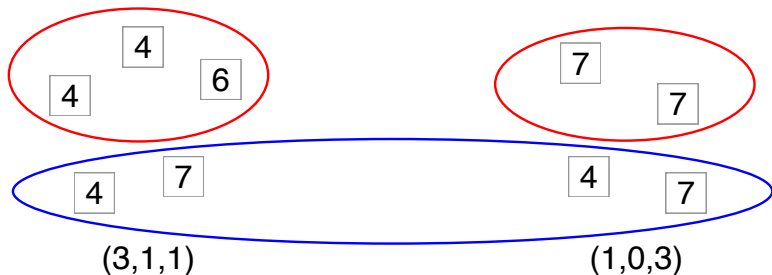


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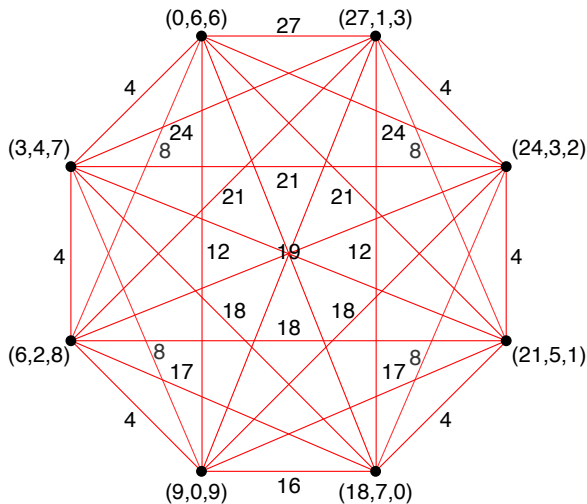
If $|Z_S(n)| = 1$, define $c(n) = 0$.

A Big Example

$$S = \langle 11, 36, 39 \rangle, n = 450$$

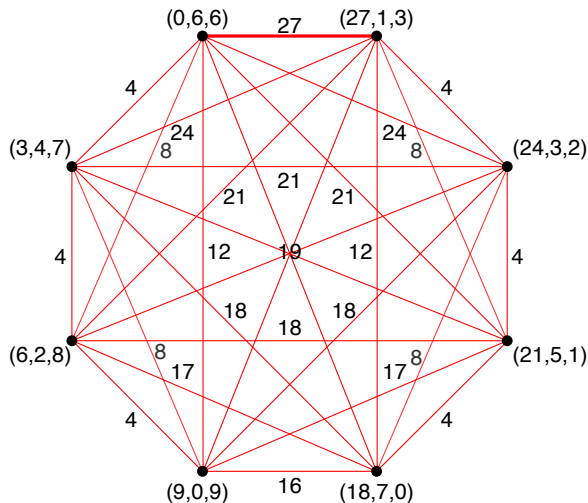
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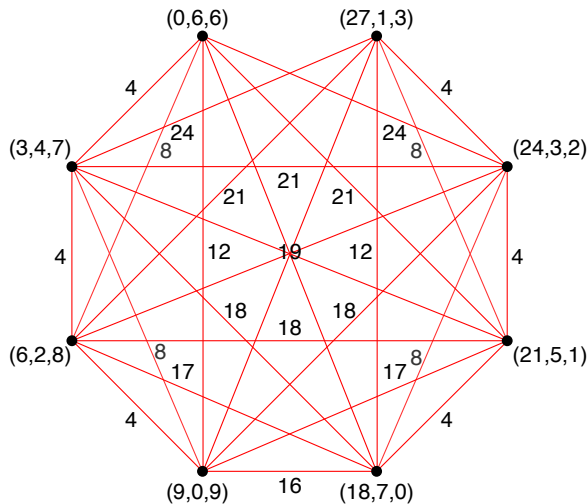
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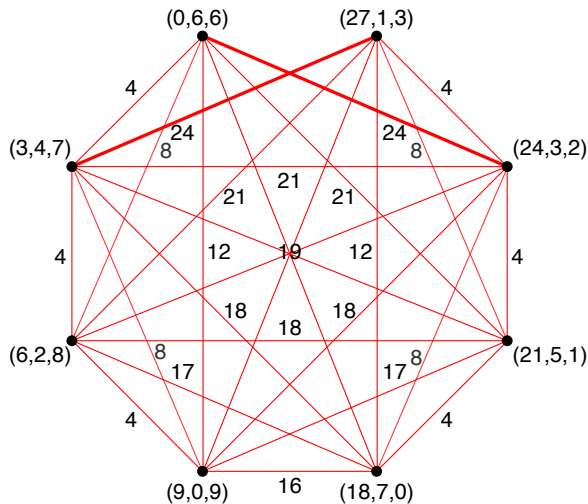
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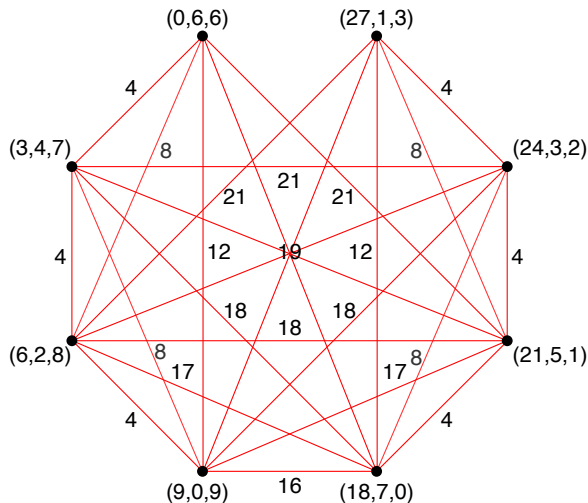
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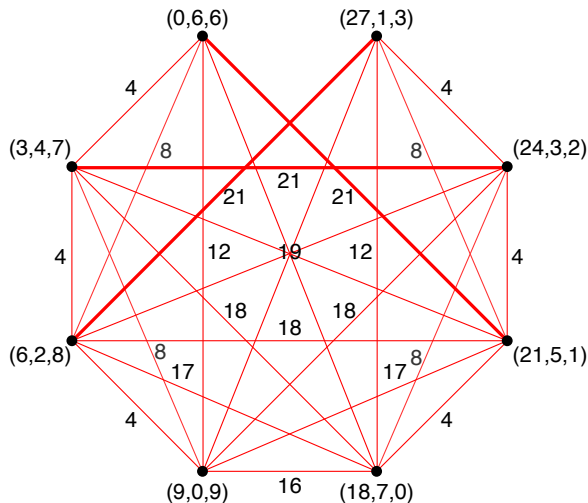
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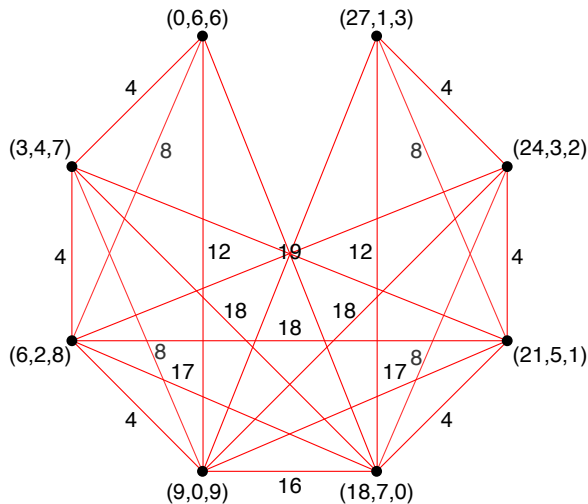
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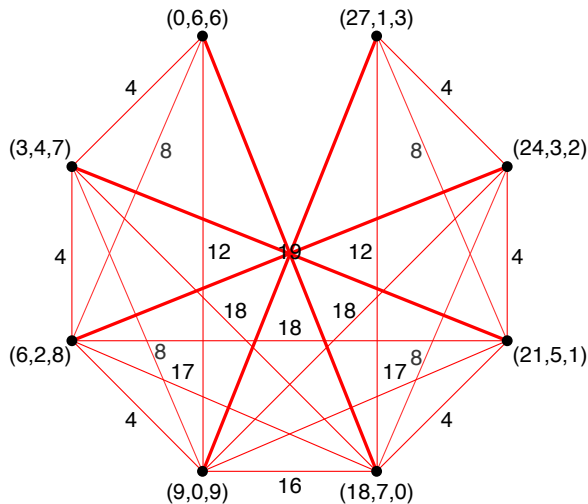
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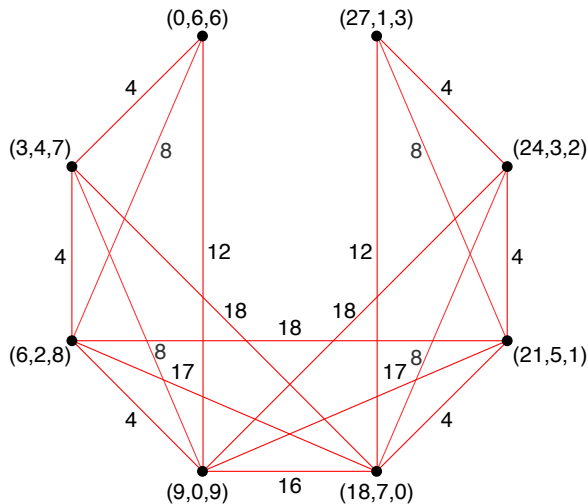
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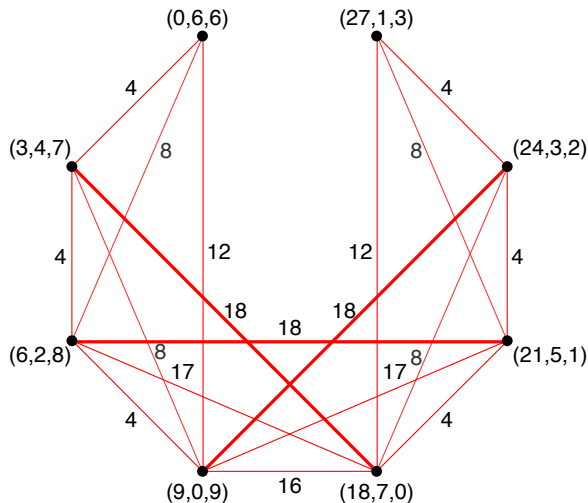
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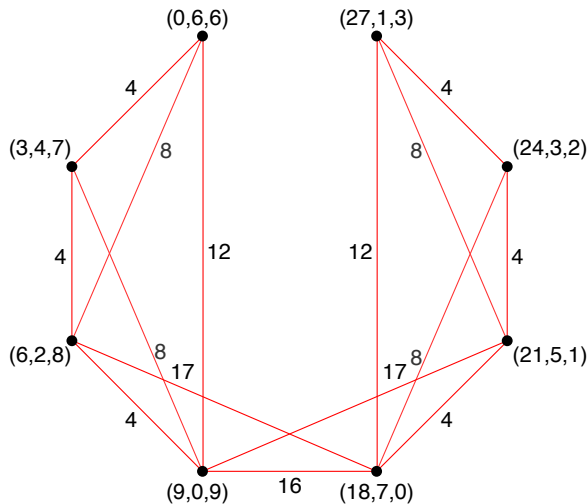
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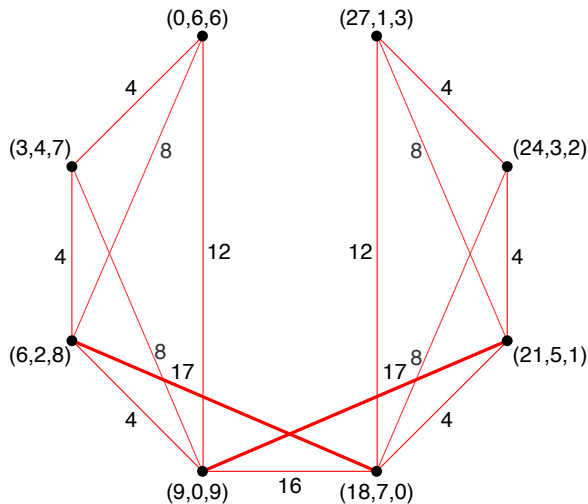
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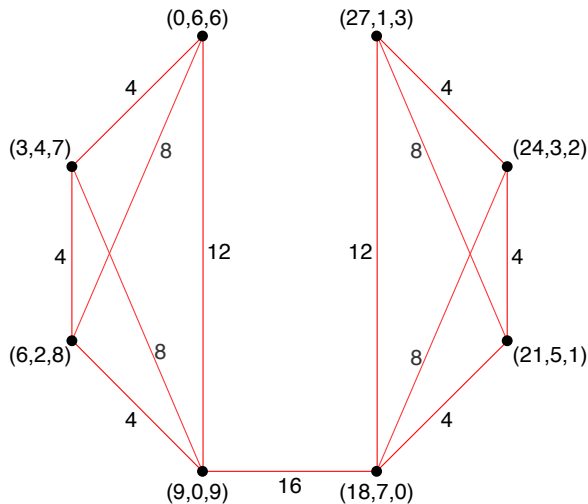
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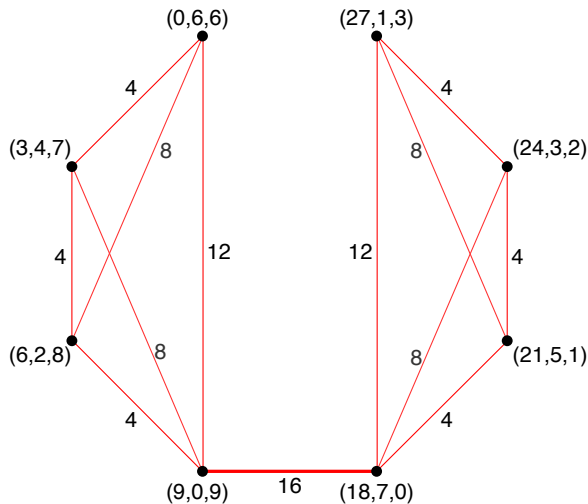
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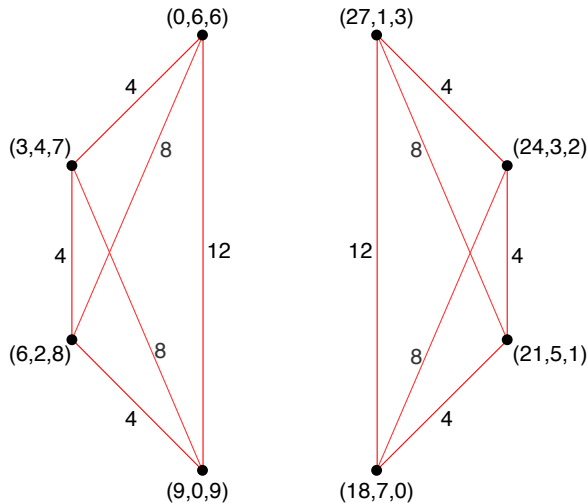
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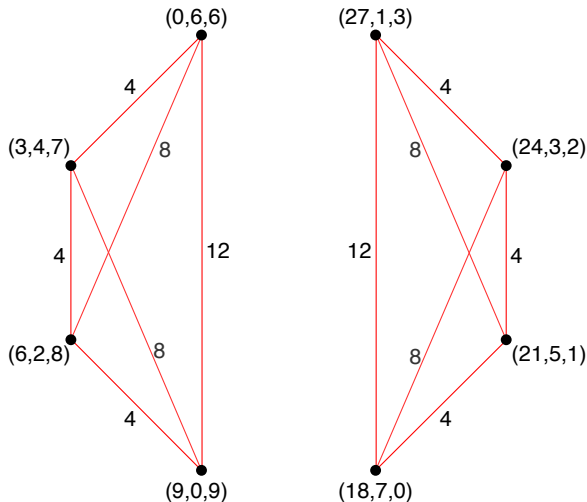
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A Big Example

$$S = \langle 11, 36, 39 \rangle, n = 450, c(n) = 16$$

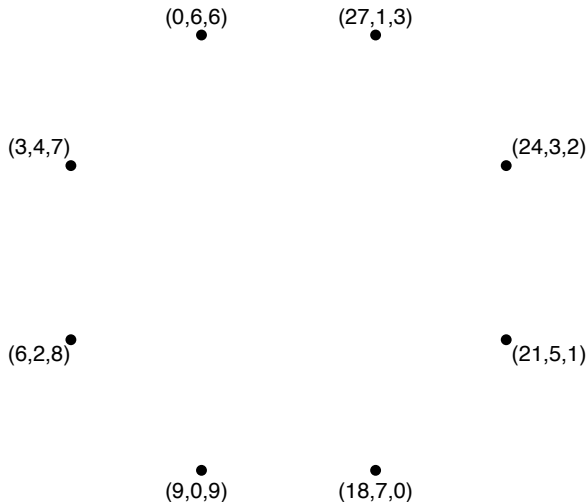


A Big Example, Method 2

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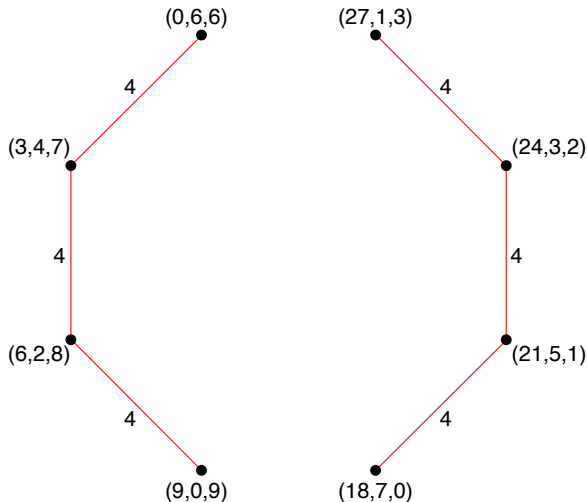
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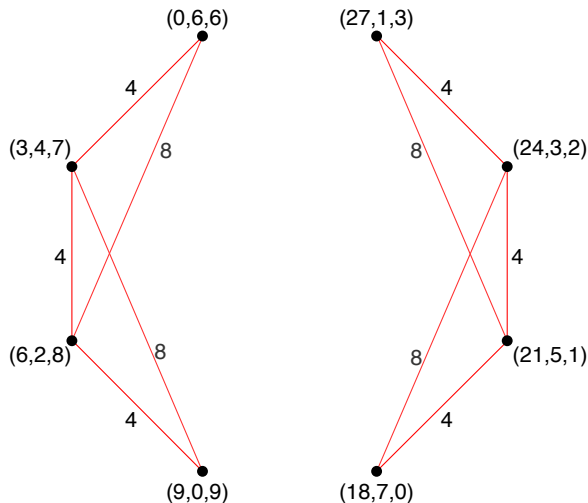
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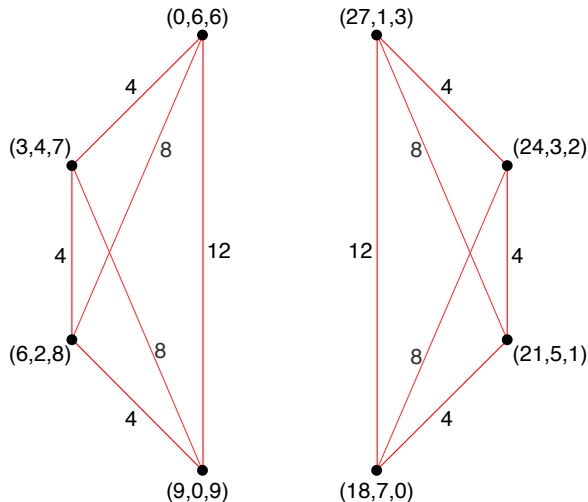
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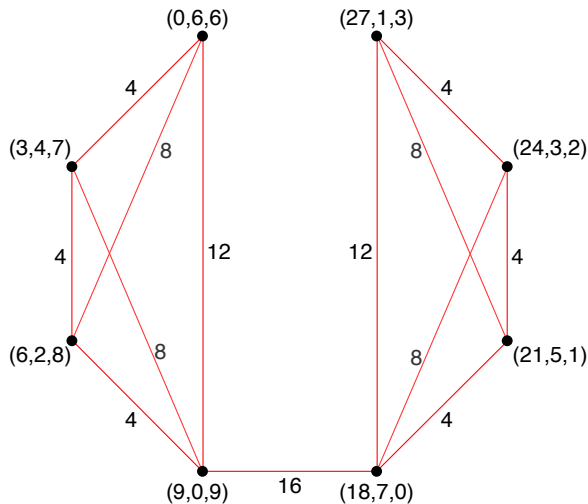
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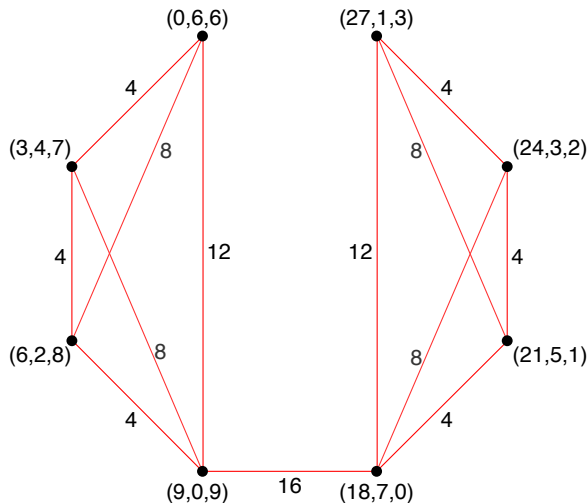
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A Big Example, Method 2

$$S = \langle 11, 36, 39 \rangle, n = 450, c(n) = 16$$



Definition

For an element $n \in S = \langle n_1, \dots, n_k \rangle$, let ∇_n denote the subgraph of the catenary graph in which only edges (f, f') with $\gcd(f, f') \neq 0$ are drawn.

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$S = \langle 10, 15, 17 \rangle$ has Betti elements 30 and 85.

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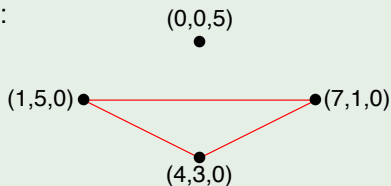
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∇_{30} :

$(3,0,0)$ • • $(0,2,0)$

∇_{85} :



Maximal catenary degree in S

Theorem

$$\max\{c(n) : n \in S\} = \max\{c(b) : b \text{ Betti element of } S\}.$$

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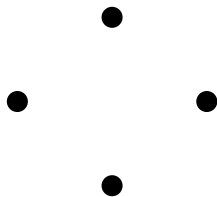
Key concept: Cover morphisms.

Maximal catenary degree in S

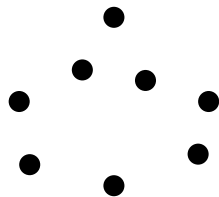
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$Z_S(n)$



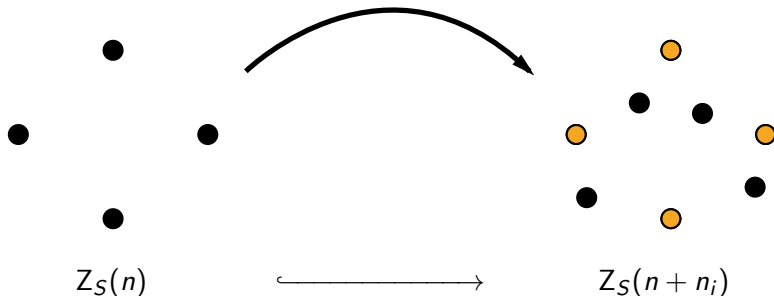
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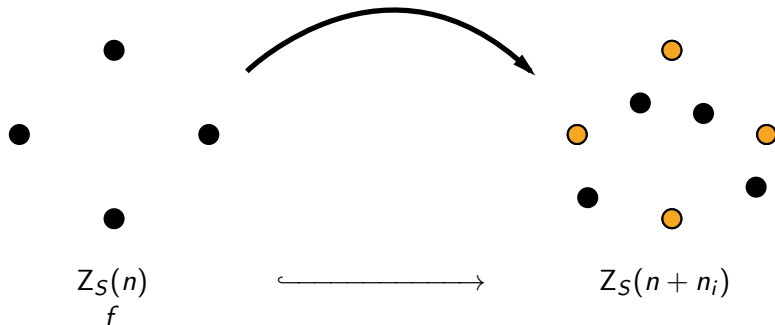


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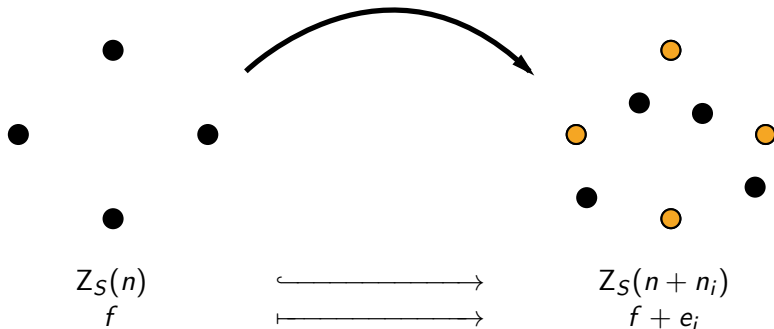


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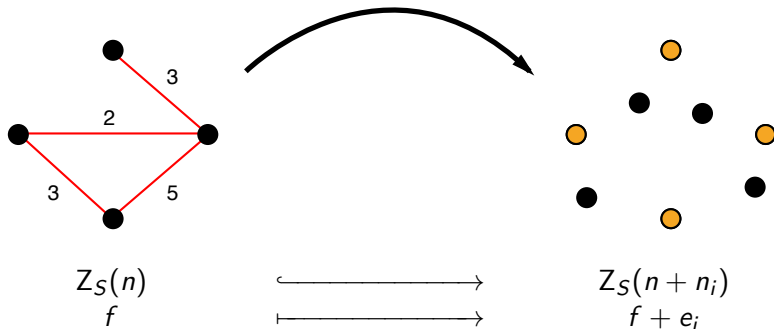


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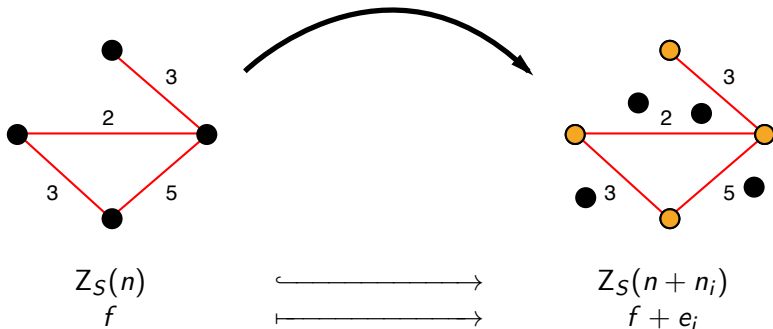


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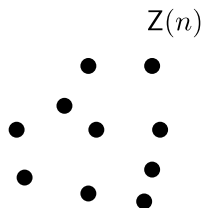
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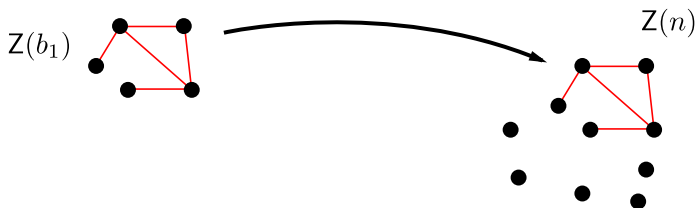


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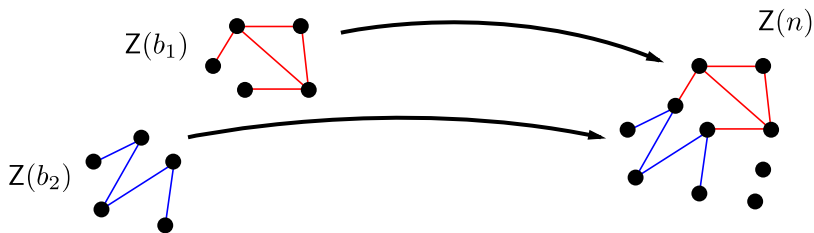


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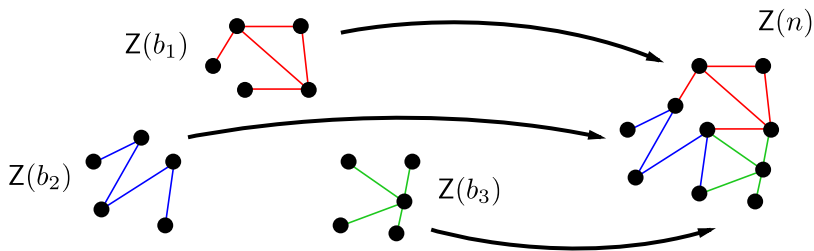


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Minimal (nonzero) catenary degree in S

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$$\min\{c(n) > 0 : n \in S\} = \min\{c(b) : b \text{ Betti element of } S\}.$$

Minimal (nonzero) catenary degree in S

Conjecture Theorem (O., Ponomarenko, Tate, Webb)

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Lemma

If $f, f' \in Z_S(n)$

$f \bullet$

$f' \bullet$

Minimal (nonzero) catenary degree in S

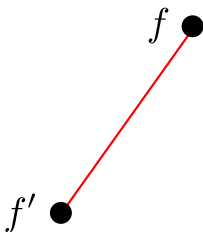
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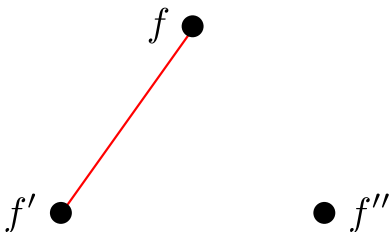
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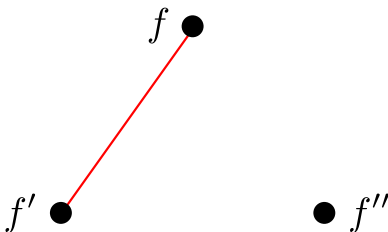
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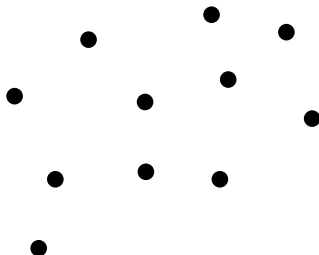
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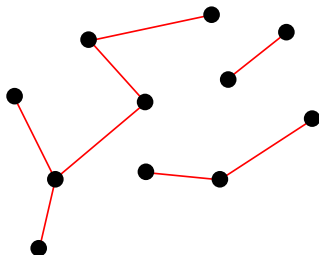
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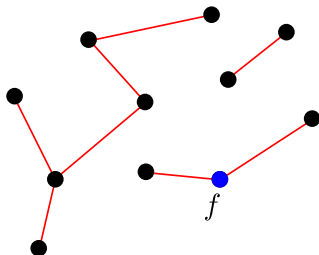
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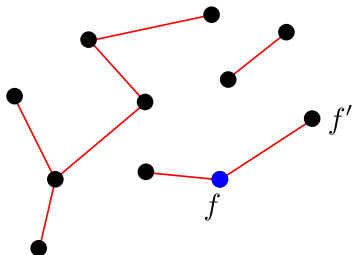
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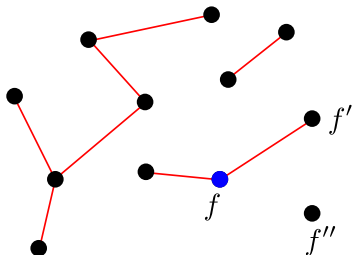
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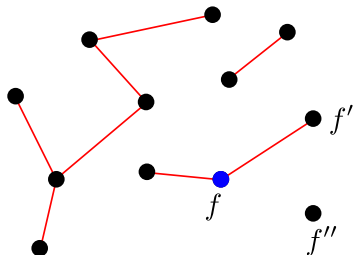
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- maximality of $|f| \Rightarrow f''$ has no edges!

Catenary graph of n :



Switching gears: ω -primality

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Fix a cancellative, commutative, atomic monoid M . For $x \in M$, $\omega(x)$ is the smallest positive integer m such that whenever $x \mid \prod_{i=1}^r u_i$ for $r > m$, there exists a subset $T \subset \{1, \dots, r\}$ with $|T| \leq m$ such that $x \mid \prod_{i \in T} u_i$.

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Fact

M is factorial if and only if every irreducible element $u \in M$ is prime. Moreover, $\omega(p_1 \cdots p_r) = r$ for any primes $p_1, \dots, p_r \in M$.

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- $x^2 \mid x^2$,
- $x^2 \mid x^3 \cdot x^3$ since $x^4 \in R$,
- $x^2 \mid u_1 u_2 u_3$ with each $u_i = x^2$ or x^3

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Fix a cancellative, commutative, atomic monoid M . For $x \in M$, $\omega(x)$ is the smallest positive integer m such that whenever $x \mid \prod_{i=1}^r u_i$ for $r > m$, there exists a subset $T \subset \{1, \dots, r\}$ with $|T| \leq m$ such that $x \mid \prod_{i \in T} u_i$.

Example

$R = \mathbb{C}[x^2, x^3]$ (think $S = \langle 2, 3 \rangle \subset \mathbb{N}$). To compute $\omega(x^2)$:

- $x^2 \mid x^2$,
- $x^2 \mid x^3 \cdot x^3$ since $x^4 \in R$,
- $x^2 \mid u_1 u_2 u_3$ with each $u_i = x^2$ or $x^3 \Rightarrow$ some u_i can be omitted.

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Definition

A *bullet* for $x \in M$ is a product $u_1 \cdots u_r$ of irreducible elements such that (i) x divides $u_1 \cdots u_r$, and (ii) x does not divide $u_1 \cdots u_r / u_i$ for each $i \leq r$. The set of bullets of x is denoted $\text{bul}(x)$.

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Proposition

$$\omega_M(x) = \max\{r : u_1 \cdots u_r \in \text{bul}(x)\}.$$

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Remark

Several improvements on this algorithm exist.

Quasilinearity for numerical monoids

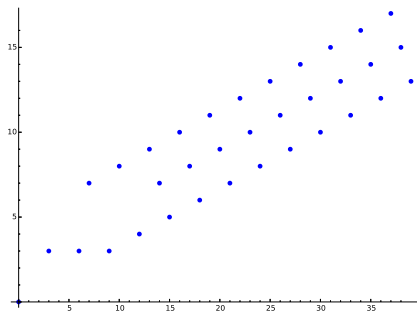
Theorem ((O.–Pelayo, 2013), (García-García et.al., 2013))

$\omega_S(n) = \frac{1}{n_1}n + a_0(n)$ for $n \gg 0$, where $a_0(n)$ periodic with period n_1 .

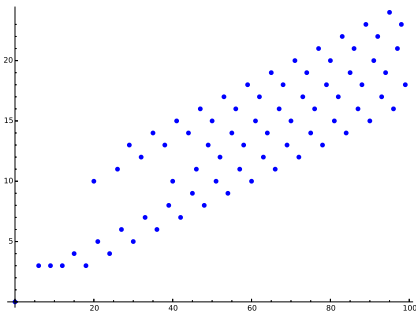
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Question (O.-Pelayo, 2014)

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Answer (Barron-O.-Pelayo, 2014)

Yes!

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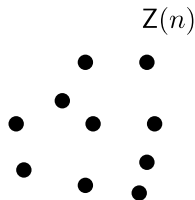
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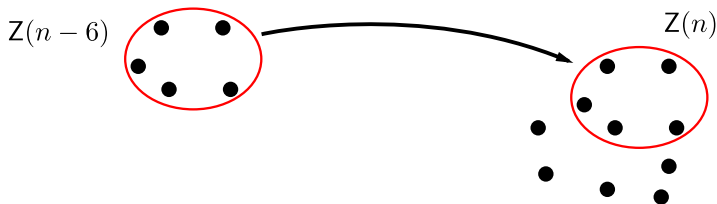
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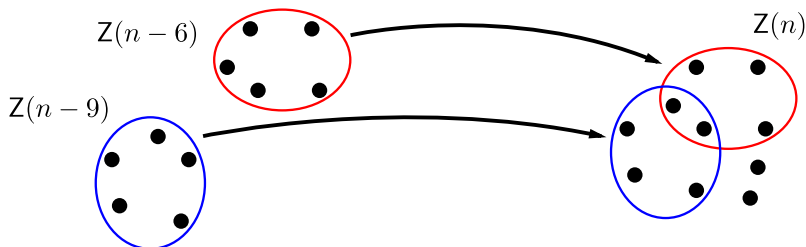
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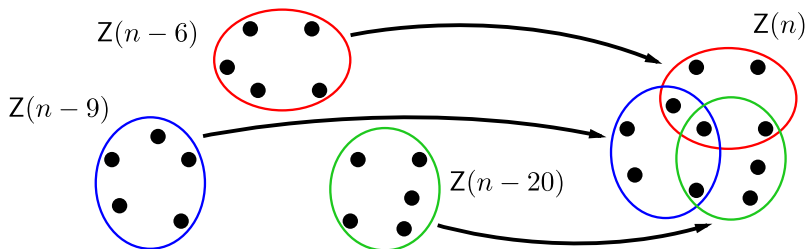
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Moreover, $\text{bul}(n) = \bigcup_{i \leq k} \psi_i(\text{bul}(n - n_i)).^{**}$

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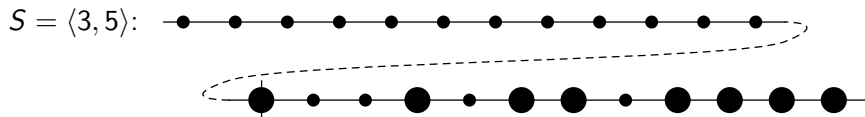
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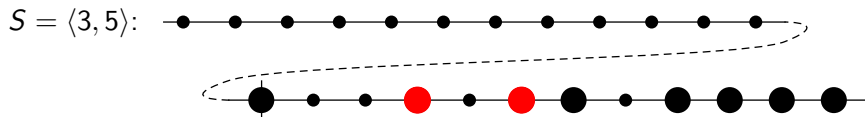
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$\omega_S(n)$ is the minimal m such that whenever $(\sum_{i=1}^r n_{j_i}) - n \in S$ for $r > m$, there exists $T \subset \{1, \dots, r\}$ with $|T| \leq m$ and $(\sum_{i \in T} n_{j_i}) - n \in S$.

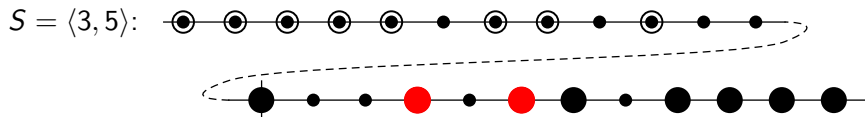
Remark

All properties of ω extend from S to \mathbb{Z} .

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For $n \in \mathbb{Z}$, the following are equivalent:

- (i) $\omega(n) = 0$, (ii) $\text{bul}(n) = \{\vec{0}\}$, (iii) $-n \in S$.



Toward a dynamic algorithm... the base case

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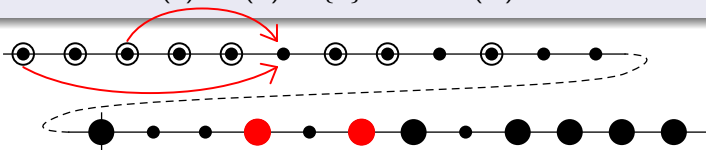
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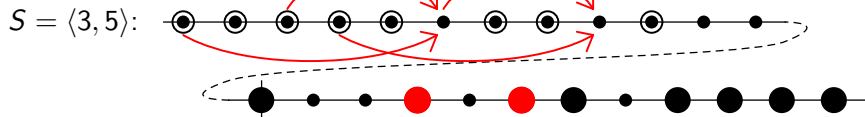
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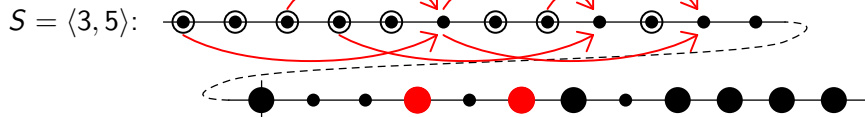
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A dynamic algorithm!

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-33	0	$\{\vec{0}\}$			
-32	0	$\{\vec{0}\}$			
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-43	1	$\{\vec{e}_1, \vec{e}_2, \vec{e}_3\}$	7	6	$\{6\vec{e}_1, 3\vec{e}_2, 2\vec{e}_3, (3, 1, 0)\}$
-42	0	$\{\vec{0}\}$	8	8	$\{8\vec{e}_1, 6\vec{e}_2, (5, 2, 0), \dots\}$
\vdots	\vdots	\vdots	9	3	$\{3\vec{e}_1, 3\vec{e}_3, \vec{e}_2\}$
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-35	0	$\{\vec{0}\}$	13	7	$\{7\vec{e}_1, 5\vec{e}_2, (4, 1, 0), \dots\}$
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-33	0	$\{\vec{0}\}$	15	4	$\{4\vec{e}_1, 3\vec{e}_2, 3\vec{e}_3, (1, 1, 0)\}$
-32	0	$\{\vec{0}\}$	\vdots	\vdots	\vdots
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Runtime comparison

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S	$n \in S$	$\omega_S(n)$	Existing	Dynamic
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$\langle 11, 13, 15 \rangle$	1000	97	0m 10.7s	5ms
$\langle 11, 13, 15 \rangle$	3000	279	14m 34.7s	15ms
$\langle 11, 13, 15 \rangle$	10000	915	————	42ms
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GAP Numerical Semigroups Package, available at

<http://www.gap-system.org/Packages/numericalsgps.html>.

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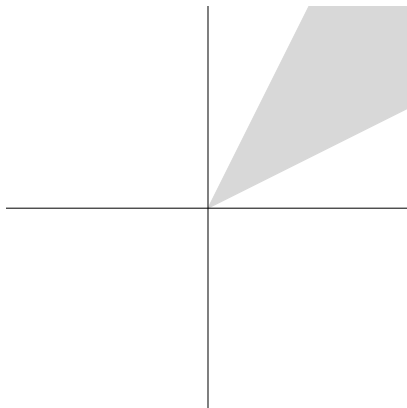
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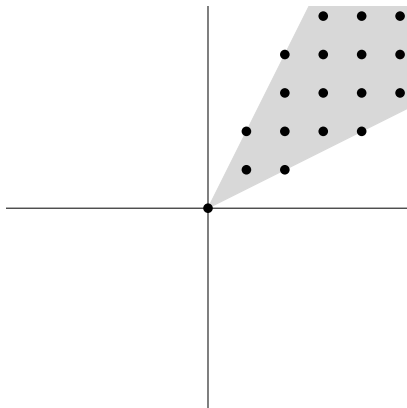


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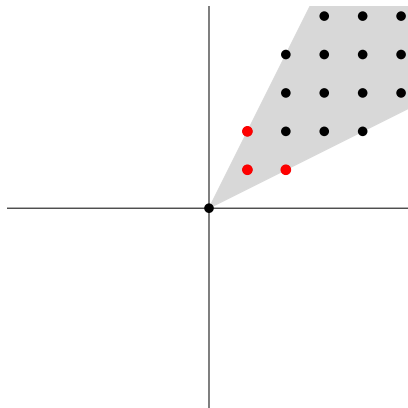


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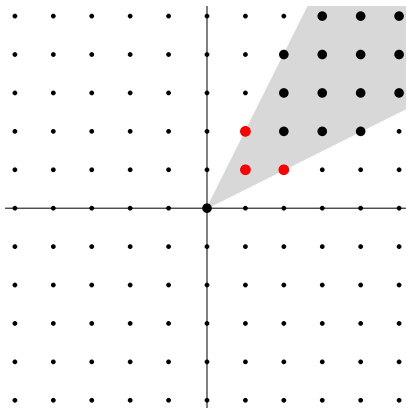


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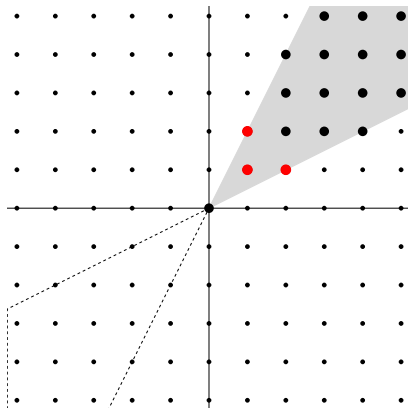


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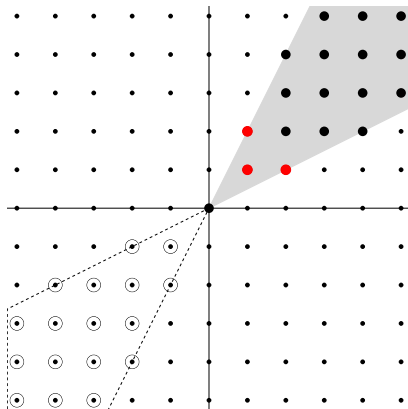


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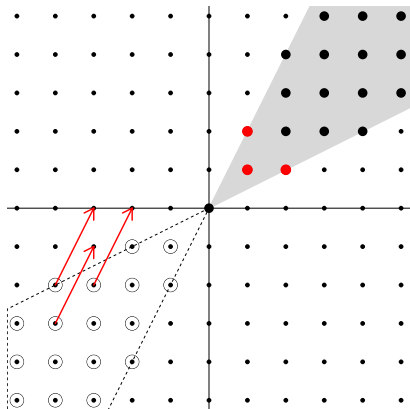


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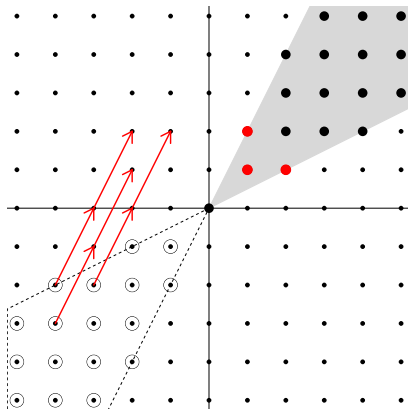


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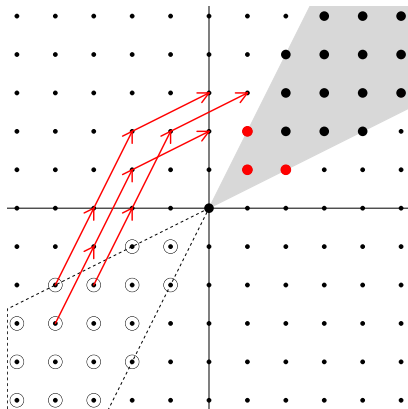


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Find a dynamic algorithm to compute ω -primality in M .

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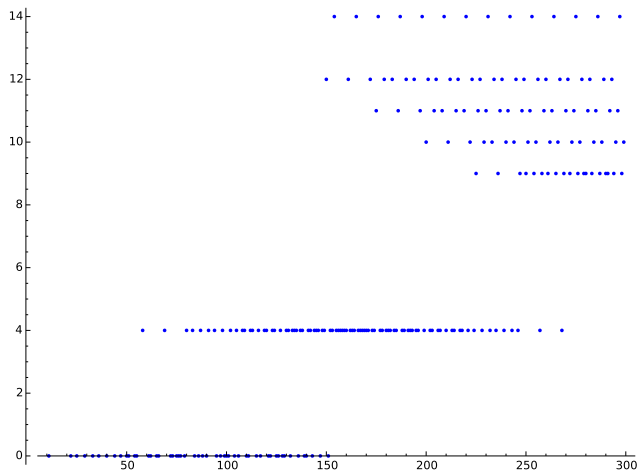
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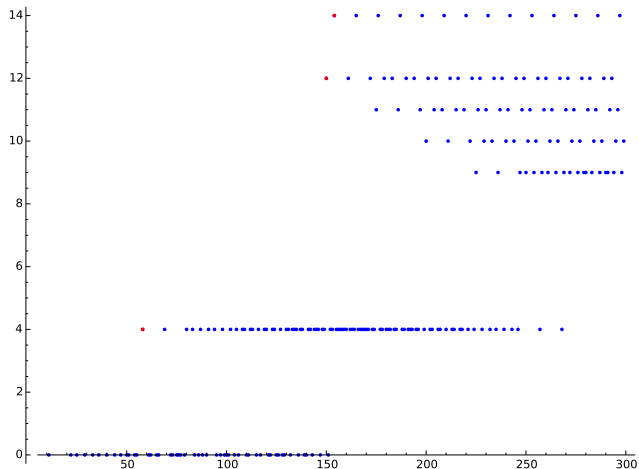
Characterize the eventual behavior of ω -primality in M .

Future directions: catenary degree

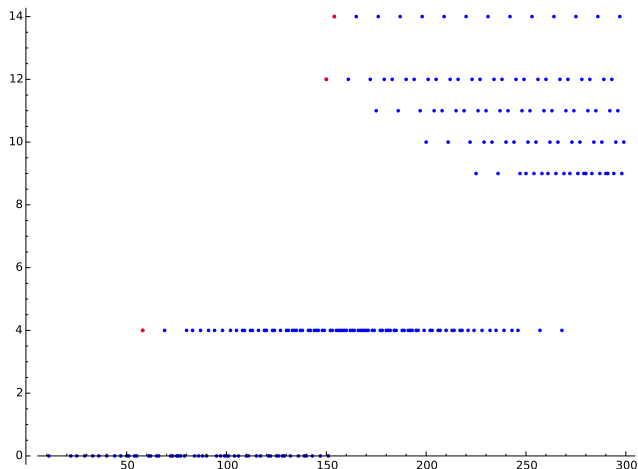
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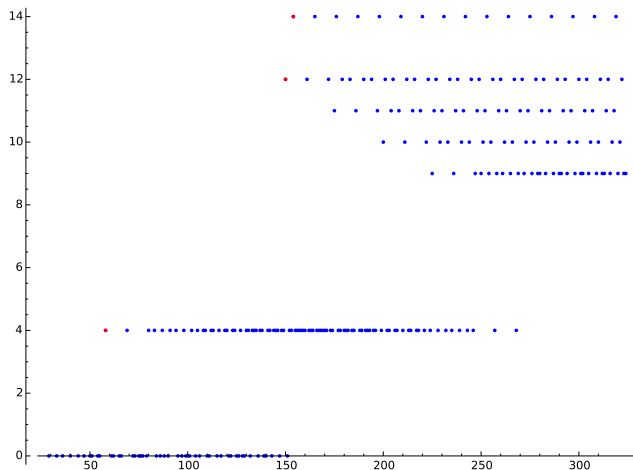
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Find a (canonical) finite set on which every catenary degree is achieved.

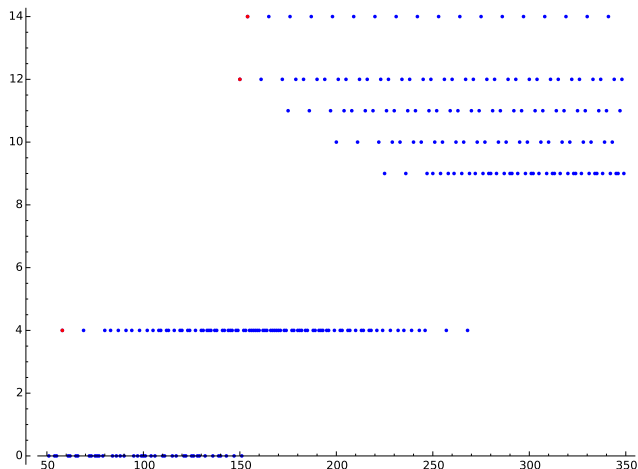
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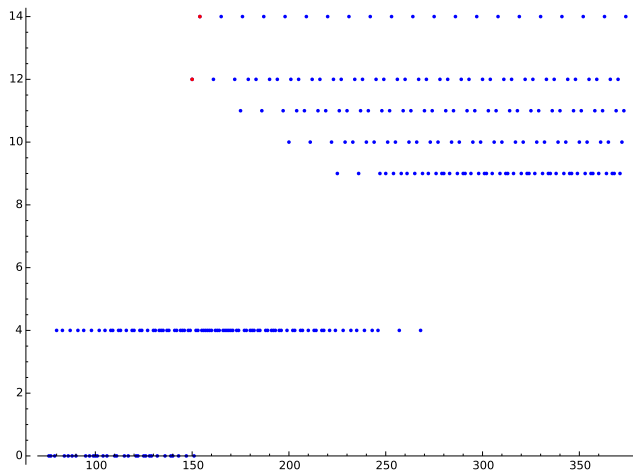
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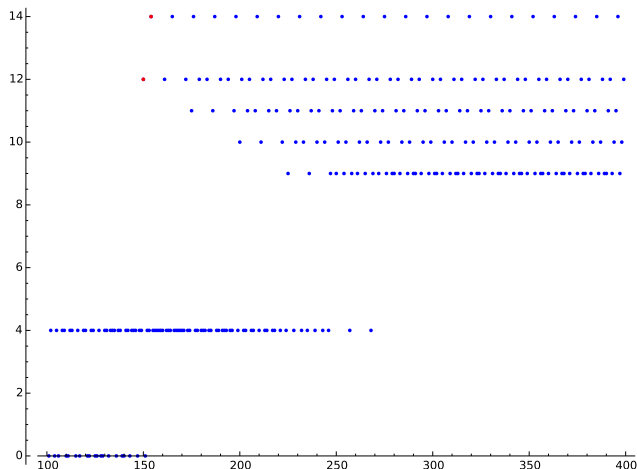
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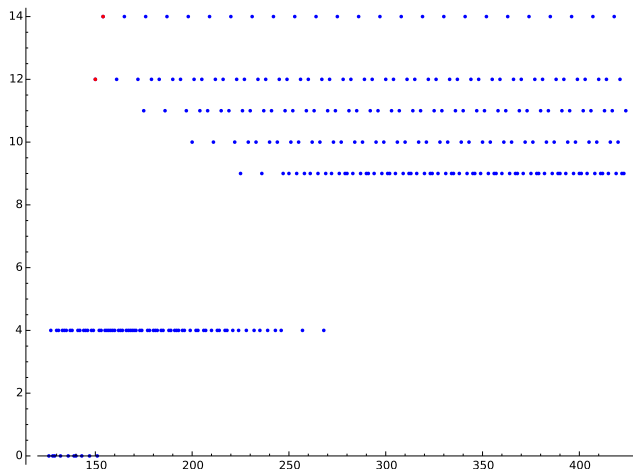
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Problem

Find a (canonical) finite set on which every catenary degree is achieved.

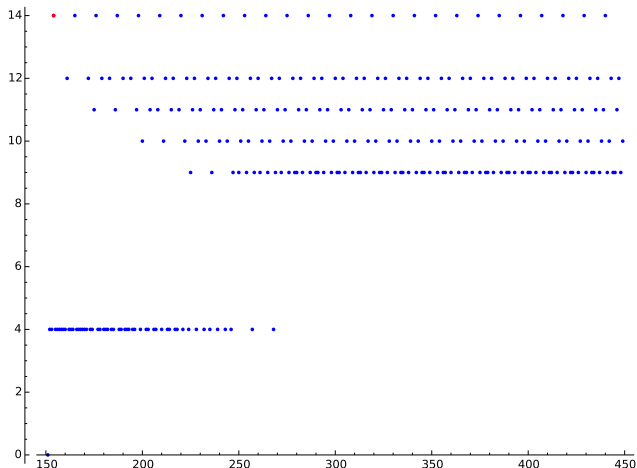
Future directions: catenary degree



Problem

Find a (canonical) finite set on which every catenary degree is achieved.

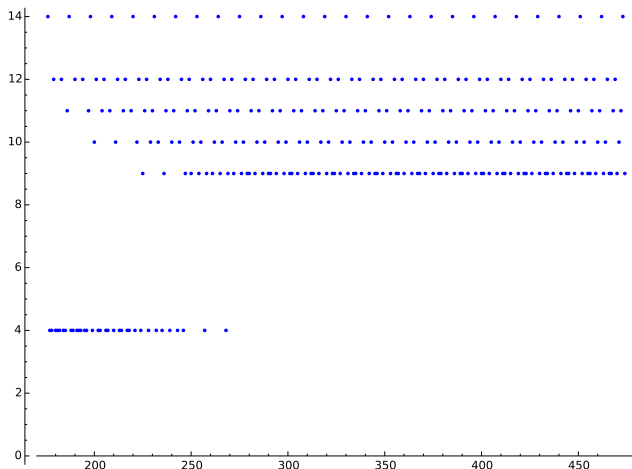
Future directions: catenary degree



Problem

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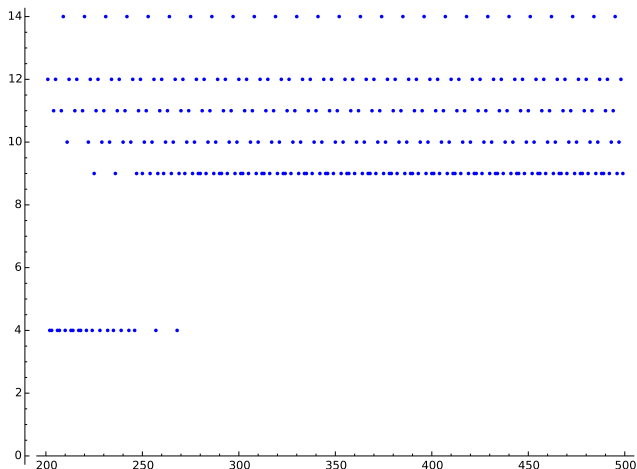
Future directions: catenary degree



Problem

Find a (canonical) finite set on which every catenary degree is achieved.

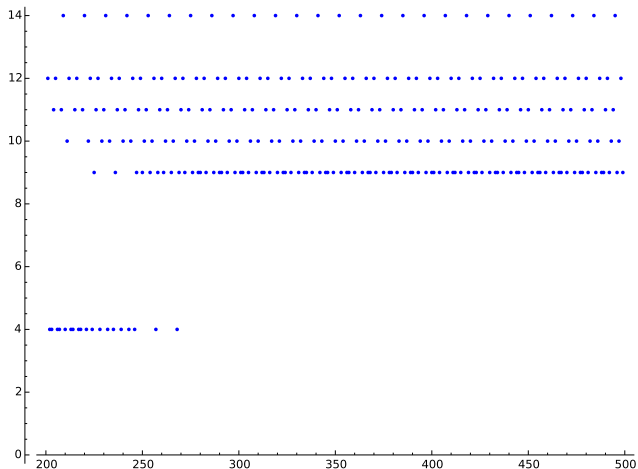
Future directions: catenary degree



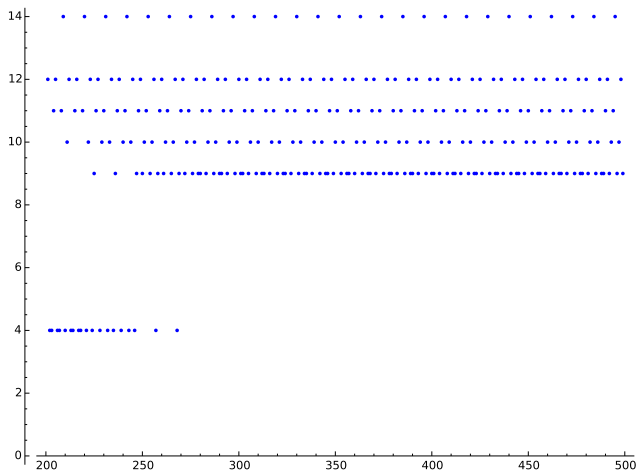
Problem

Find a (canonical) finite set on which every catenary degree is achieved.

Future directions: catenary degree



Future directions: catenary degree



Problem

Find a dynamic algorithm to compute catenary degrees.

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<http://www.gap-system.org/Packages/numericalsgps.html>.

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Thanks!