

Numerical semigroups, minimal presentations, and posets

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Example:

$$McN = \langle 6, 9, 20 \rangle = \left\{ \begin{array}{l} 0, 6, 9, 12, 15, 18, 20, 21, 24, \dots \\ \dots, 36, 38, 39, 40, 41, 42, 44 \rightarrow \end{array} \right\}$$

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Multiplicity: $m(S) =$ smallest nonzero element

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Theorem

If $A = \{0, a_1, \dots, a_{m-1}\}$ with each $a_i > m$ and $a_i \equiv i \pmod{m}$, then there exists a numerical semigroup S with $\text{Ap}(S) = A$ if and only if

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Big idea: the inequalities “ $a_i + a_j \geq a_{i+j}$ ” to define a **cone** C_m .

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The *Kunz cone* $C_m \subseteq \mathbb{R}^{m-1}$ is a pointed cone with defining inequalities

$$a_i + a_j \geq a_{i+j} \quad \text{whenever} \quad i + j \neq 0.$$

$$\begin{aligned} \{S \subseteq \mathbb{Z}_{\geq 0} : m(S) = m\} &\longrightarrow C_m \\ \text{Ap}(S) = \{0, a_1, \dots, a_{m-1}\} &\longmapsto (a_1, \dots, a_{m-1}) \end{aligned}$$

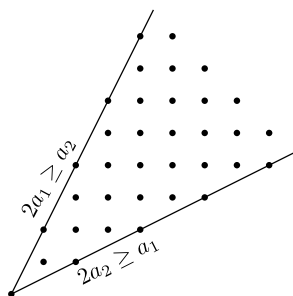
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Example: C_3



Kunz cone

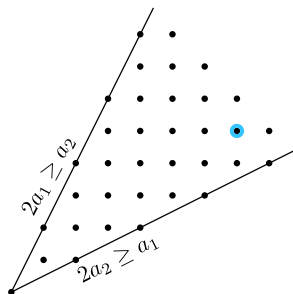
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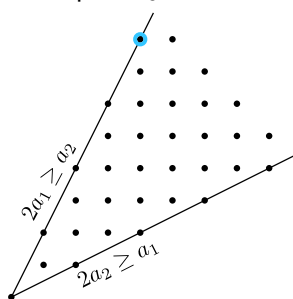
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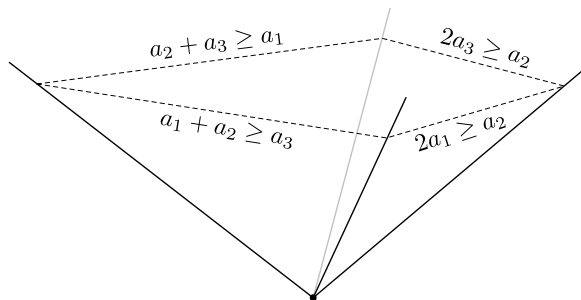
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Example: C_4



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Big picture: “moduli space” approach for studying XYZ 's

- Define a space with XYZ 's as points
Small changes to an $XYZ \rightsquigarrow$ small movements in space
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Basic example: $GL_n(\mathbb{R}) \subseteq \mathbb{R}^{n^2}$

Faces of the Kunz cone

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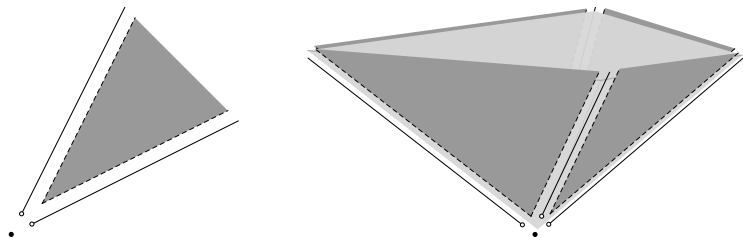
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More interesting example: C_m



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What about the other faces?

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Example: $S = \langle 4, 10, 11, 13 \rangle$

$$\text{Ap}(S) = \{0, 13, 10, 11\}$$

$$a_1 = 13, \quad a_2 = 10, \quad a_3 = 11$$

$$2a_1 > a_2 \quad a_1 + a_2 > a_3$$

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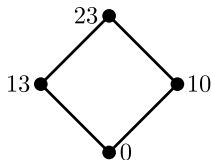
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Faces of the Kunz polyhedron

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$$\text{Ap}(S') = \{0, 79, 26, 27, 52, 53\}$$

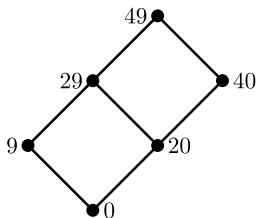
Faces of the Kunz polyhedron

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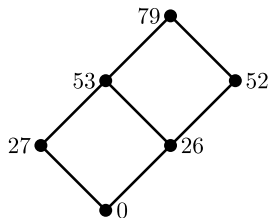
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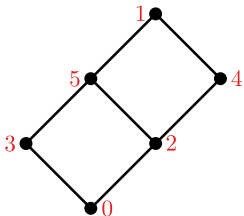
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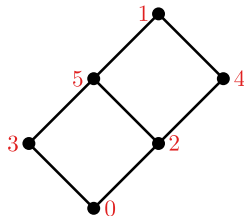
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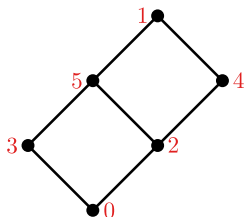
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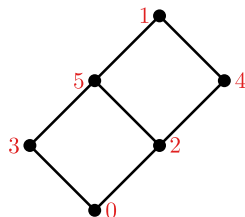
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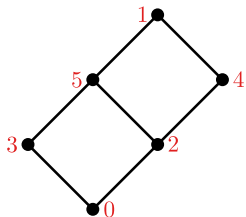
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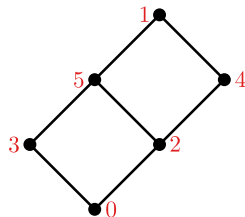
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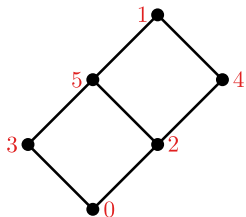
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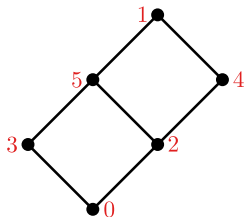
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Defining facet equations:

$$2a_2 = a_4$$

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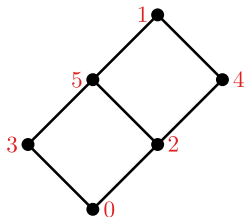
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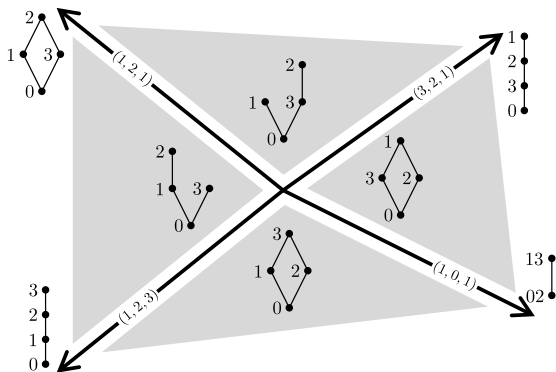
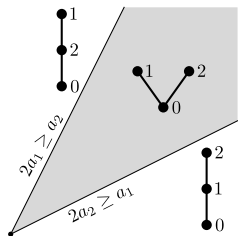
$$\begin{array}{ll} 2a_2 = a_4 & 2 \preceq 4 \\ a_2 + a_3 = a_5 & 2 \preceq 5 \\ & 3 \preceq 5 \\ a_2 + a_5 = a_1 & 2 \preceq 1 \\ & 5 \preceq 1 \\ a_3 + a_4 = a_1 & 3 \preceq 1 \\ & 4 \preceq 1 \end{array}$$

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C_3 and C_4



What properties are determined by the Kunz poset P of $S = \langle n_1, \dots, n_k \rangle$?

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Spoiler

If S, S' have identical Kunz posets, then S and S' have the same number of minimal trades.

Minimal presentations and Betti elements

Fix a numerical semigroup $S = \langle n_1, \dots, n_k \rangle \subseteq \mathbb{Z}_{\geq 0}$.

$$Z(n) = \left\{ \mathbf{a} \in \mathbb{Z}_{\geq 0}^k : n = a_1 n_1 + \dots + a_k n_k \right\}$$

is the set of factorizations of $n \in S$.

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$S = \langle 6, 9, 20 \rangle$:

$$Z(60) = \{(10, 0, 0), (7, 2, 0), (4, 4, 0), (1, 6, 0), (0, 0, 3)\}$$

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$$\mathbf{a} \sim \mathbf{a}$$

$$\mathbf{a} \sim \mathbf{b} \Rightarrow \mathbf{b} \sim \mathbf{a}$$

$$\mathbf{a} \sim \mathbf{b} \text{ and } \mathbf{b} \sim \mathbf{c} \Rightarrow \mathbf{a} \sim \mathbf{c}$$

that is closed under *translation*

$$\mathbf{a} \sim \mathbf{b} \Rightarrow \mathbf{a} + \mathbf{c} \sim \mathbf{b} + \mathbf{c}$$

$$x^{\mathbf{a}} - x^{\mathbf{a}} = 0 \in I_S$$

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$$(x^{\mathbf{a}} - x^{\mathbf{b}}) + (x^{\mathbf{b}} - x^{\mathbf{c}}) = x^{\mathbf{a}} - x^{\mathbf{c}}$$

Minimal presentations

Fix a numerical semigroup $S = \langle n_1, \dots, n_k \rangle \subseteq \mathbb{Z}_{\geq 0}$.

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Definition

The *kernel* $\ker \pi$ is the relation \sim on $\mathbb{Z}_{\geq 0}^k$ with $\mathbf{a} \sim \mathbf{b}$ whenever

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$Z(18)$:

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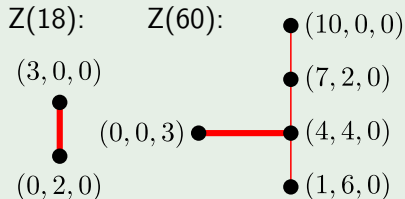
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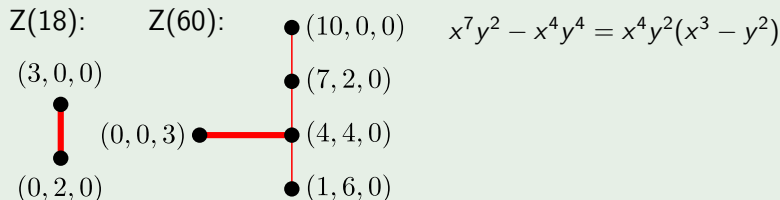
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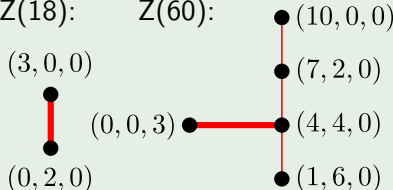
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$Z(18):$	$Z(60):$		$x^7 y^2 - x^4 y^4 = x^4 y^2 (x^3 - y^2)$
$(3, 0, 0)$	$(7, 2, 0)$		$x^7 y^2 - z^3 = (x^7 y^2 - x^4 y^4)$
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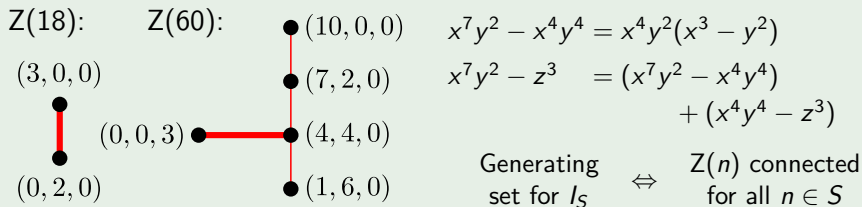
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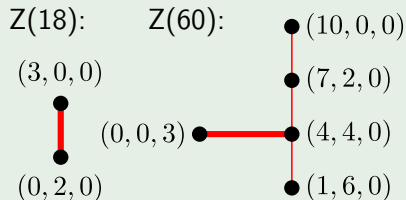
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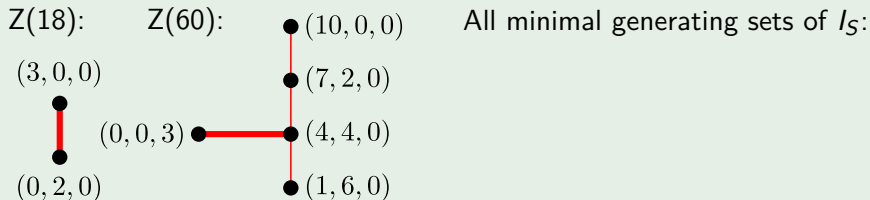
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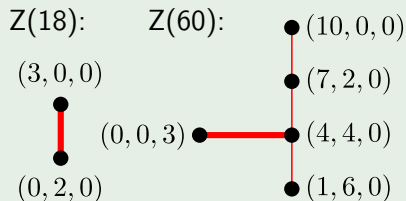
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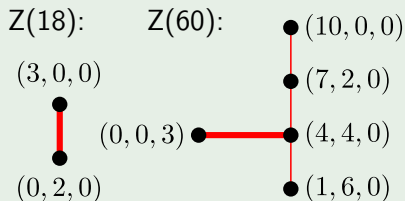
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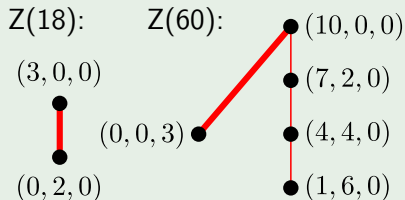
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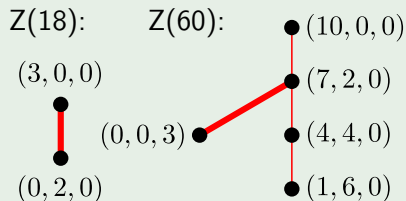
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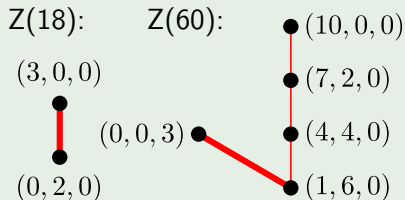
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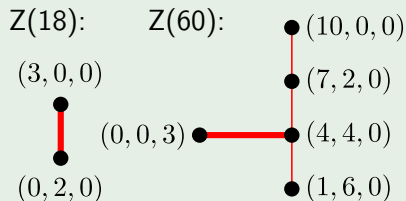
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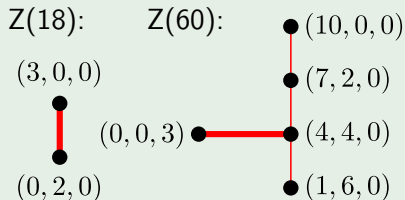
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Minimal presentations

Fix a numerical semigroup $S = \langle n_1, \dots, n_k \rangle \subseteq \mathbb{Z}_{\geq 0}$.

$$n = a_1 n_1 + \dots + a_k n_k \quad \iff \quad \mathbf{a} = (a_1, \dots, a_k) \in \mathbb{Z}_{\geq 0}^k$$

Factorization homomorphism:

$$\begin{aligned} \pi : \mathbb{Z}_{\geq 0}^k &\longrightarrow \langle n_1, \dots, n_k \rangle \\ \mathbf{a} &\longmapsto a_1 n_1 + \dots + a_k n_k \end{aligned}$$

minimal presentation of S

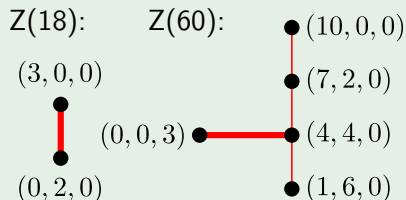
Monomial map:

$$\begin{aligned} \varphi : \mathbb{k}[x_1, \dots, x_k] &\longrightarrow \mathbb{k}[w] \\ x_i &\longmapsto w^{n_i} \end{aligned}$$

minimal generating set of I_S

Example

$$S = \langle 6, 9, 20 \rangle: \quad I_S = \langle x^3 - y^2, x^4 y^4 - z^3 \rangle \subseteq \mathbb{k}[x, y, z]$$



All minimal generating sets of \sim :

$$(3, 0, 0) \sim (0, 2, 0), (10, 0, 0) \sim (0, 0, 3)$$

$$(3, 0, 0) \sim (0, 2, 0), (7, 2, 0) \sim (0, 0, 3)$$

$$(3, 0, 0) \sim (0, 2, 0), (4, 4, 0) \sim (0, 0, 3)$$

$$(3, 0, 0) \sim (0, 2, 0), (1, 6, 0) \sim (0, 0, 3)$$

Minimal presentations and Betti elements

$$S = \langle n_1, \dots, n_k \rangle \subseteq \mathbb{Z}_{\geq 0} \quad \pi : \mathbb{Z}_{\geq 0}^k \longrightarrow S$$

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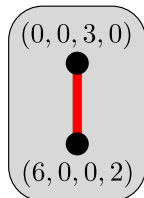
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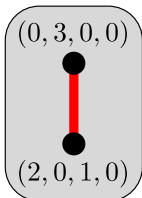
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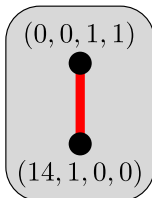
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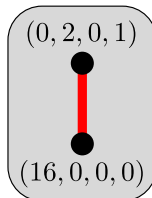
Z(132)



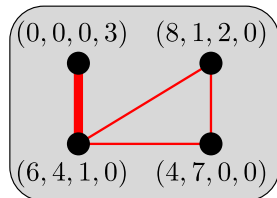
Z(318)



Z(226)



Z(208)



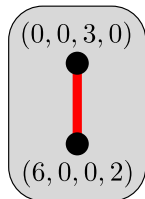
Z(360)

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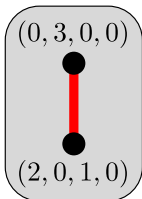
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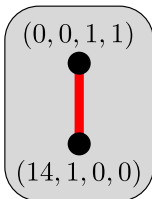
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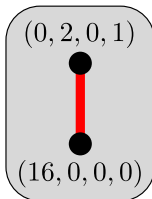
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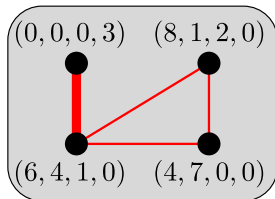
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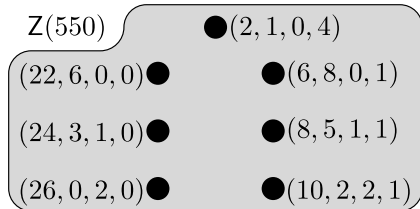
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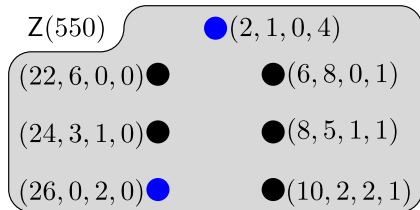
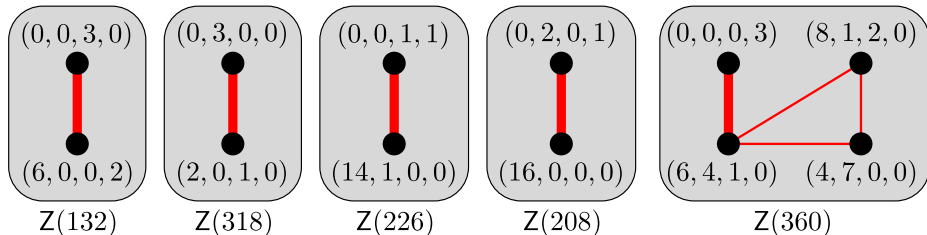


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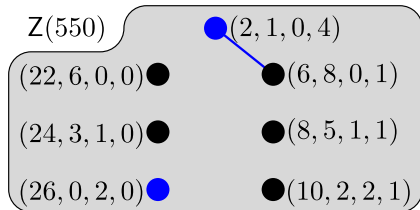
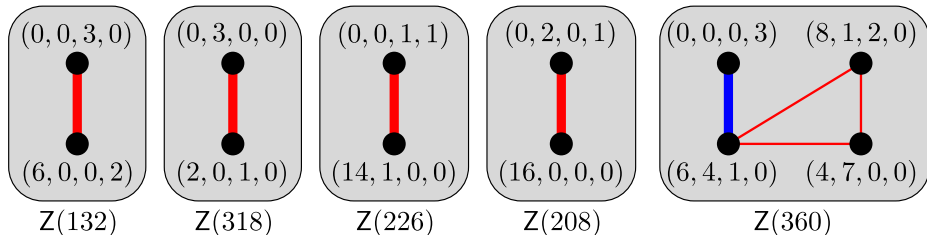


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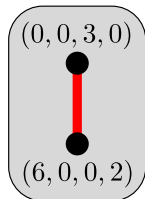


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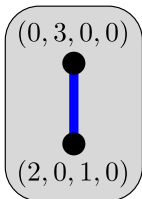
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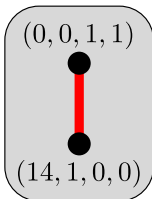
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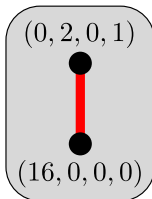
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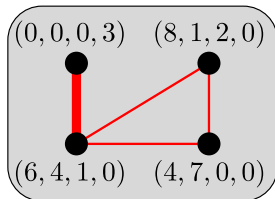
Z(318)



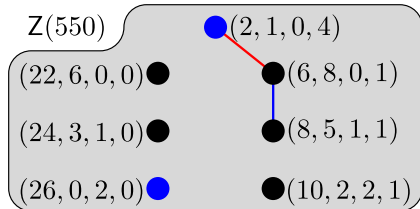
Z(226)



Z(208)



Z(360)



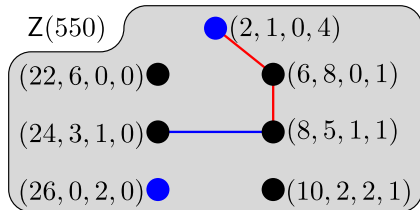
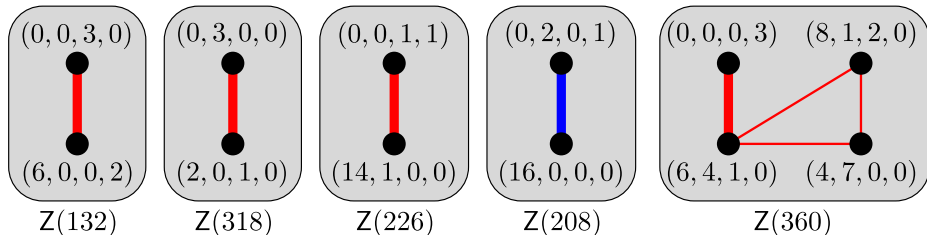
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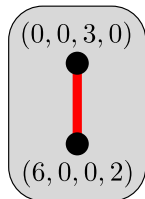


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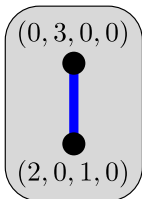
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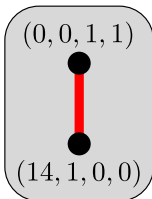
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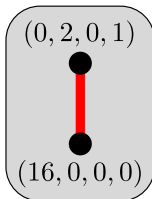
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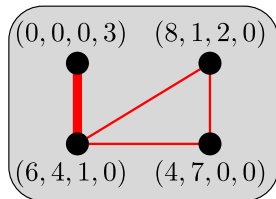
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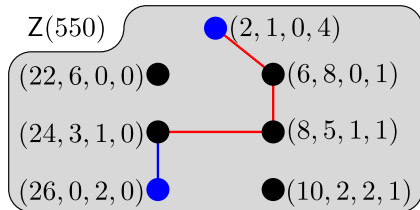
Z(226)



Z(208)



Z(360)



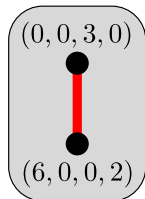
Z(550)

Minimal presentations and Betti elements

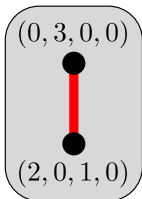
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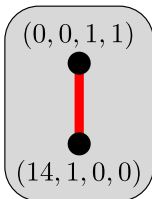
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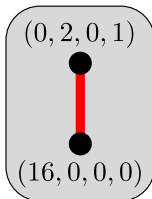
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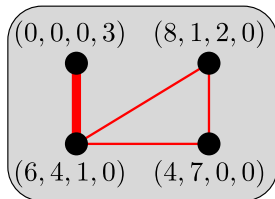
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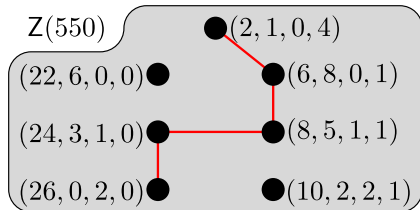
Z(226)



Z(208)



Z(360)



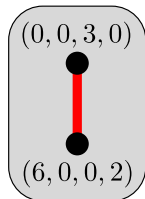
Z(550)

Minimal presentations and Betti elements

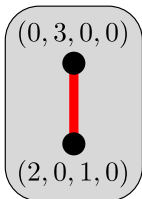
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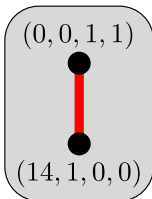
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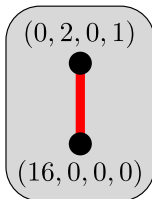
$\mathbb{Z}(132)$



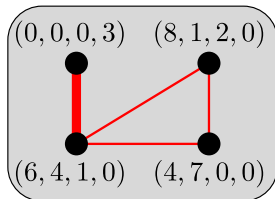
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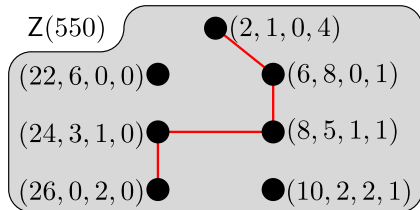
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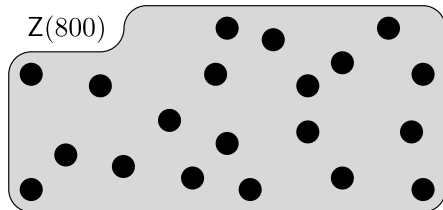
$\mathbb{Z}(208)$



$\mathbb{Z}(360)$



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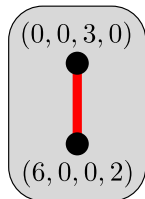
$\mathbb{Z}(800)$

Minimal presentations and Betti elements

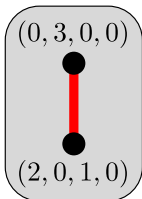
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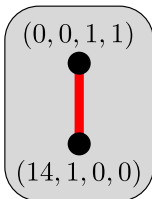
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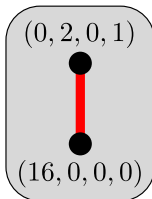
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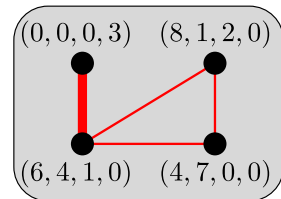
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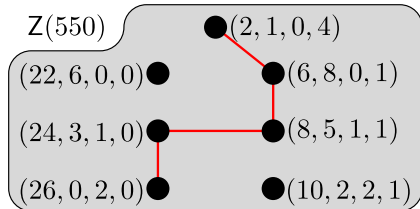
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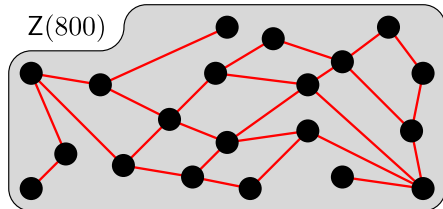
Z(208)



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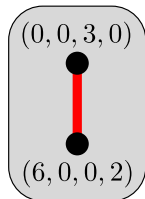
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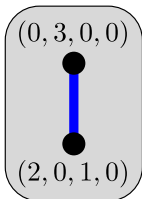
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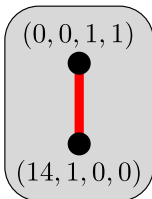
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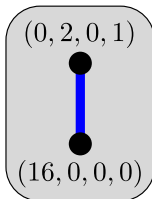
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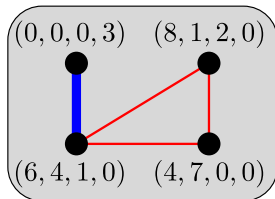
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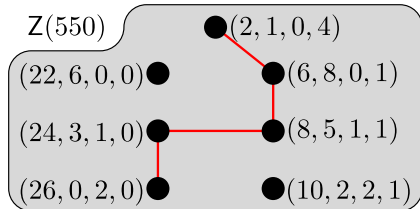
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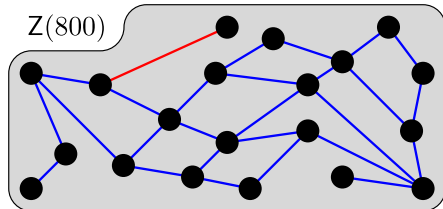
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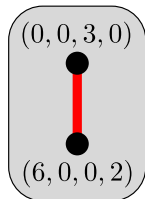
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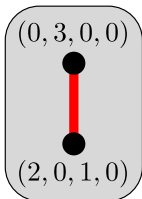
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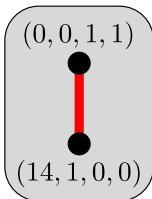
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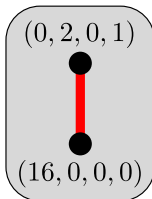
Z(132)



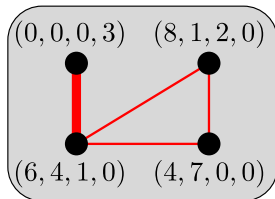
Z(318)



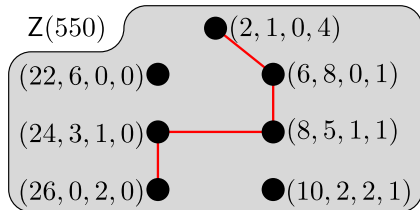
Z(226)



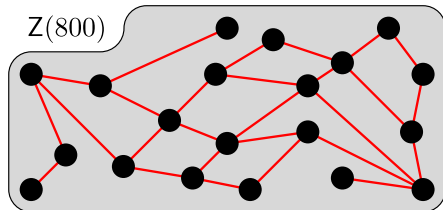
Z(208)



Z(360)



Z(550)



Z(800)

Minimal trades and Kunz posets

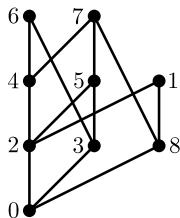
Question

How can one recover minimal trade structure from the Kunz poset?

Minimal trades and Kunz posets

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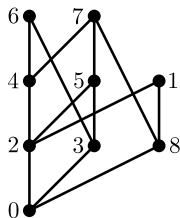


Minimal trades and Kunz posets

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How can one recover minimal trade structure from the Kunz poset?

$$\text{Ap}(S) = \{0, a_1, a_2, \dots, a_8\}$$



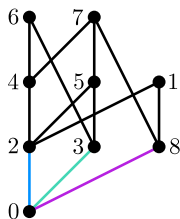
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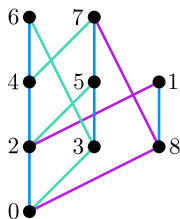
$$S = \langle 9, a_2, a_3, a_8 \rangle$$



Minimal trades and Kunz posets

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$$\text{Ap}(S) = \{0, a_1, a_2, \dots, a_8\}$$

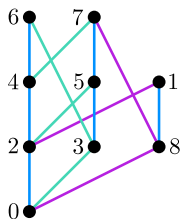
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Cover relations: add a generator

Minimal trades and Kunz posets

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$$S = \langle 9, a_2, a_3, a_8 \rangle$$

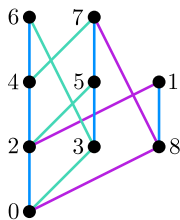
Cover relations: add a generator

$$Z(a_6) = \{(0, 3, 0, 0), (0, 0, 2, 0)\}$$

Minimal trades and Kunz posets

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$$\text{Ap}(S) = \{0, a_1, a_2, \dots, a_8\}$$

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2 “inner” minimal trades:

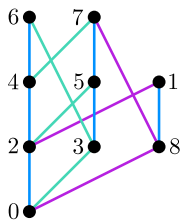
$$(0, 3, 0, 0) \sim (0, 0, 2, 0) \text{ (at } a_6)$$

$$(0, 2, 1, 0) \sim (0, 0, 0, 2) \text{ (at } a_7)$$

Minimal trades and Kunz posets

Question

How can one recover minimal trade structure from the Kunz poset?



$$\text{Ap}(S) = \{0, a_1, a_2, \dots, a_8\}$$

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Moral: can recover

- factorizations of $a \in \text{Ap}(S)$
- (minimal) trades at $a \in \text{Ap}(S)$

Minimal trades and Kunz posets

Question

How can one recover minimal trade structure from the Kunz poset?

Minimal trades and Kunz posets

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How can one recover minimal trade structure from the Kunz poset?

Key fact: each trade occurs at $a_i + n_j$ for some $a_i \in \text{Ap}(S)$, generator n_j

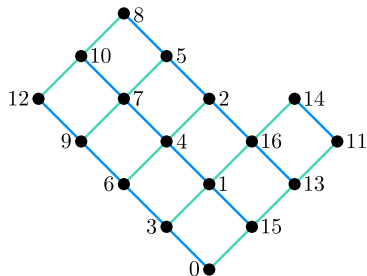
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How can one recover minimal trade structure from the Kunz poset?

Key fact: each trade occurs at $a_i + n_j$ for some $a_i \in \text{Ap}(S)$, generator n_j

$$S = \langle 17, a_3, a_{15} \rangle$$



Minimal trades and Kunz posets

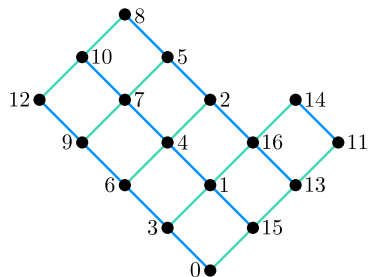
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3 minimal trades, none in $\text{Ap}(S)$



Minimal trades and Kunz posets

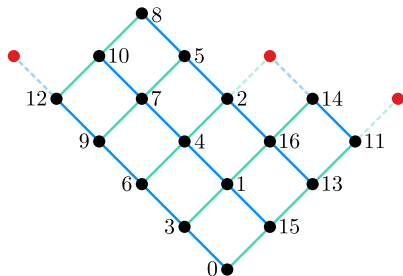
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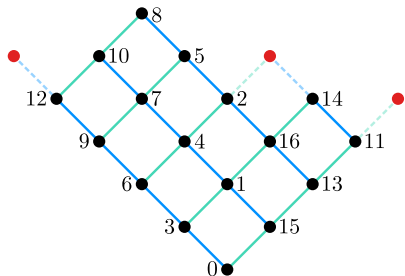


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$$a_{12} + a_3: (0, 5, 0) \sim (, ,)$$

$$a_{11} + a_{15}: (0, 0, 4) \sim (, ,)$$

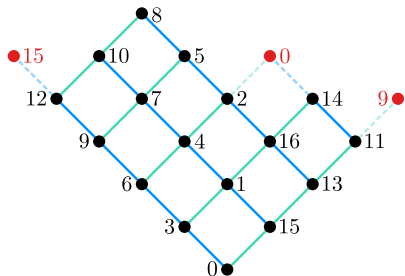
$$a_2 + a_{15}: (0, 2, 3) \sim (, ,)$$

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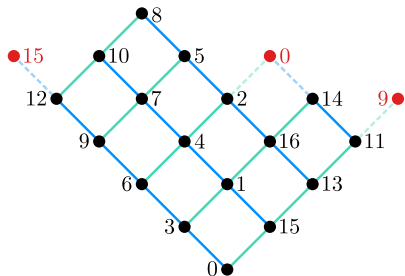
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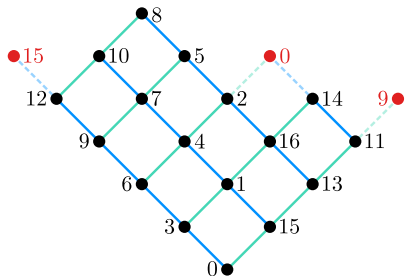
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$$a_2 + a_{15}: (0, 2, 3) \sim (*, 0, 0)$$

Possible method to locate the “outer” trades:

- factorizations of $a \in \text{Ap}(S)$ form a monomial staircase
- one “outer” minimal trade for each monomial generator

Minimal trades and Kunz posets

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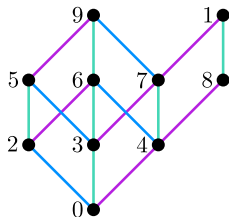
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Key fact: each trade occurs at $a_i + n_j$ for some $a_i \in \text{Ap}(S)$, generator n_j

$$S = \langle 10, a_2, a_3, a_4 \rangle$$

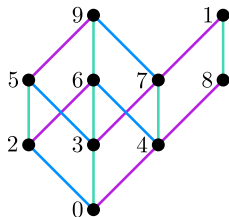


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“inner” trade at a_6 :

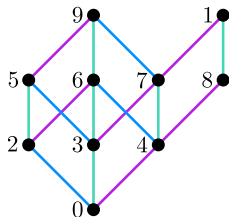
$$(0, 0, 2, 0) \sim (0, 1, 0, 1)$$

Minimal trades and Kunz posets

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Candidates for “outer” trades:

$$(0, 0, 2, 1), (0, 1, 0, 2),$$

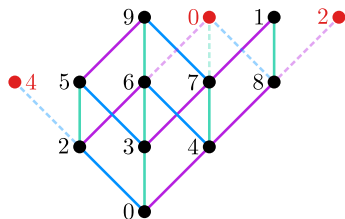
$$(0, 0, 0, 3), (0, 2, 0, 0)$$

Minimal trades and Kunz posets

Question

How can one recover minimal trade structure from the Kunz poset?

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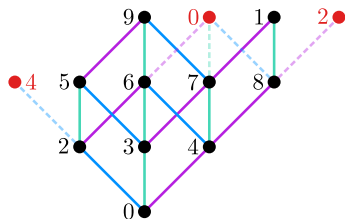
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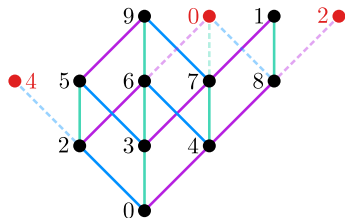
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“inner” trade at a_6 :

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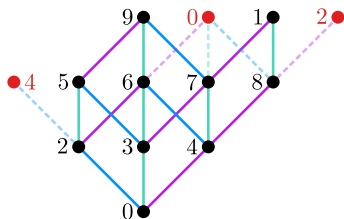
Moral: use **sets** of factorizations,
avoids overcounting minimal trades

Minimal trades and Kunz posets

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Candidates for “outer” trades:

$$(0, 0, 2, 1), (0, 1, 0, 2),$$

$$(0, 0, 0, 3), (0, 2, 0, 0)$$

Moral: use **sets** of factorizations,
avoids overcounting minimal trades

$$0: \{(0, 0, 2, 1), (0, 1, 0, 2)\}$$

$$2: \{(0, 0, 0, 3)\}, \quad 4: \{(0, 2, 0, 0)\}$$

Minimal trades and Kunz posets

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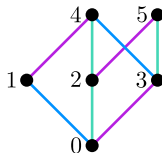
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$$S = \langle 6, 7, 8, 9 \rangle$$



Minimal trades and Kunz posets

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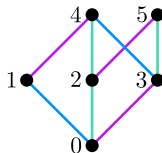
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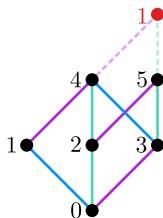


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candidate for “outer” trade:

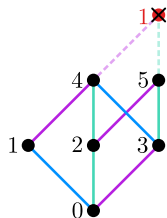
$$(0, 0, 2, 1) \in Z(25)$$

Minimal trades and Kunz posets

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How can one recover minimal trade structure from the Kunz poset?

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$$S = \langle 6, 7, 8, 9 \rangle$$

“inner” trade at a_4 :

$$(0, 0, 2, 0) \sim (0, 1, 0, 1)$$

candidate for “outer” trade:

$$(0, 0, 2, 1) \in Z(25)$$

No trades in $Z(25)$:

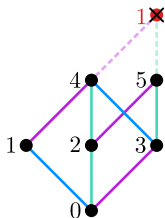
$$\{(0, 0, 2, 1), (0, 1, 0, 2), (3, 1, 0, 0)\}$$

Minimal trades and Kunz posets

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A technical definition

Definition

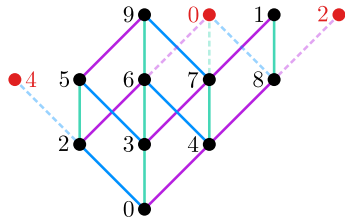
An *outer Betti element* of a Kunz poset P is a set B of factorizations with connected factorization graph and $B - e_i = Z(a_i)$ for each $i \in \text{supp}(B)$.

A technical definition

Definition

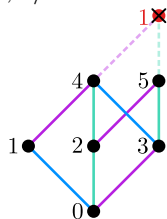
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$$S = \langle 10, a_2, a_3, a_4 \rangle$$



$$\begin{aligned} B &= \{(0, 0, 2, 1), (0, 1, 0, 2)\} \\ B - e_2 &= \{(0, 0, 0, 2)\} = Z(a_8) \\ B - e_3 &= \{(0, 0, 1, 1)\} = Z(a_7) \\ B - e_4 &= \{(0, 0, 2, 0), (0, 1, 0, 1)\} \\ &= Z(a_6) \end{aligned}$$

$$S = \langle 6, 7, 8, 9 \rangle$$



$$\begin{aligned} B &= \{(0, 0, 2, 1)\} \\ B - e_4 &= \{(0, 0, 2, 0)\} \subsetneq Z(a_4) \\ B &= \{(0, 0, 2, 1), (0, 1, 0, 2)\} \\ B - e_3 &= \{(0, 0, 1, 1)\} \not\subseteq Z(a_i) \end{aligned}$$

The main theorem

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Recovering minimal presentation from the Kunz poset P of S :

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- trades occurring at $a \in \text{Ap}(S)$ recovered from factorizations of $\bar{a} \in P$

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Recovering minimal presentation from the Kunz poset P of S :

- trades occurring at $a \in \text{Ap}(S)$ recovered from factorizations of $\bar{a} \in P$
- each trade occurring outside $\text{Ap}(S)$ corresponds to an outer Betti element of P

Theorem (Gomes–O.–Torres Davila)

If S has Kunz poset P , each minimal trade of S not occurring in $\text{Ap}(S)$ contains a factorization from a distinct outer Betti element of P .

In particular, if S, S' have identical Kunz poset, then S and S' have the same number of minimal trades.

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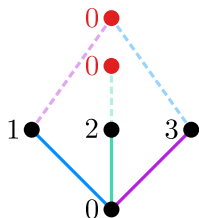
Another subtlety: distinct outer Betti elements can **coincide** for some S

The main theorem

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An *outer Betti element* of a Kunz poset P is a set B of factorizations with connected factorization graph and $B - e_i = Z(a_i)$ for each $i \in \text{supp}(B)$.

Another subtlety: distinct outer Betti elements can **coincide** for some S



$$B_1 = \{(0, 0, 2, 0)\}$$

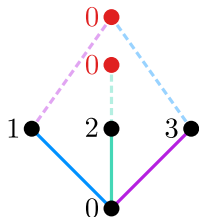
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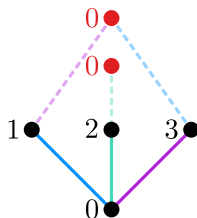
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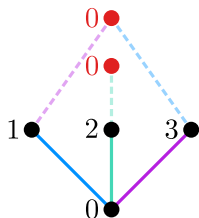
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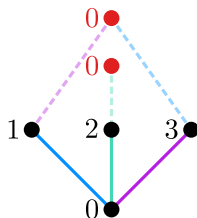
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Theorem (Gomes–O.–Torres Davila)

If S has Kunz poset P , each minimal trade of S not occurring in $\text{Ap}(S)$ contains a factorization from a distinct outer Betti element of P .

In particular, if S, S' have identical Kunz poset, then S and S' have the same number of minimal trades.

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For $m = 6$: $\#$ minimal trades $\in \{1, 2, 3, 4, 5, 6, 9, 10, 15\}$

Application: classifying minimal trades

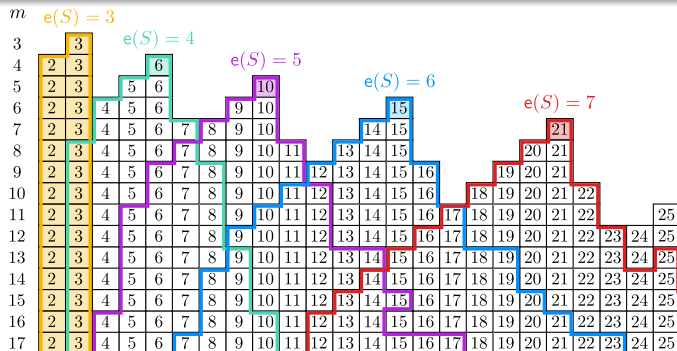
Question

Given the multiplicity $m = m(S)$ and $\#$ minimal generators $e = e(S)$ of a numerical semigroup S , what can $\beta_1(I_S) = \#$ minimal trades be?

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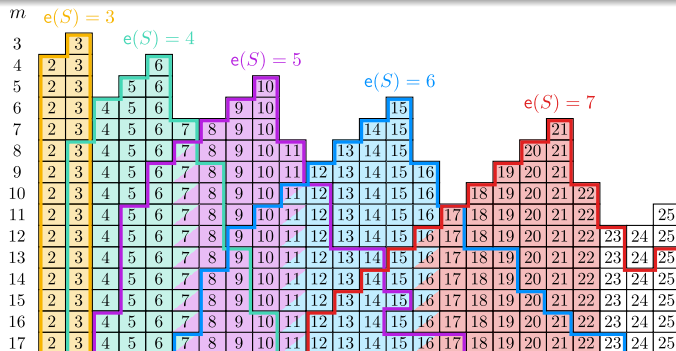


Well known: $\beta_1(S) \leq \binom{m}{2}$, with equality if and only if $e = m$
if $e = 3$, then $\beta_1(S) = 2, 3$

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Using Kunz posets: a family hits each $\beta_1(S) \in [\binom{e}{2} - r, \binom{e}{2}]$

for $r = m - e \leq e - 2$

a family hits $\beta_1(S) = \binom{e}{2} + 1$ for each $m \geq e + 3$

References



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Numerical semigroups, polyhedra, and posets I: the group cone
Combinatorial Theory **1** (2021), #19. (arXiv:1912.03741)



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Thanks!