

Classifying numerical semigroups using polyhedral geometry

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Example:

$$McN = \langle 6, 9, 20 \rangle = \left\{ \begin{array}{l} 0, 6, 9, 12, 15, 18, 20, 21, 24, \dots \\ \dots, 36, 38, 39, 40, 41, 42, 44 \rightarrow \end{array} \right\}$$

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Every numerical semigroup has a unique minimal generating set.

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Multiplicity: $m(S) =$ smallest nonzero element

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Fix a numerical semigroup S with $m(S) = m$.

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For 2 mod 6: $\{2, 8, 14, 20, 26, 32, \dots\} \cap S = \{20, 26, 32, \dots\}$

For 3 mod 6: $\{3, 9, 15, 21, \dots\} \cap S = \{9, 15, 21, \dots\}$

For 4 mod 6: $\{4, 10, 16, 22, \dots\} \cap S = \{40, 46, 52, \dots\}$

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- $|\text{Ap}(S)| = m$

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The Apéry set is a “one stop shop” for computation.

Is $A = \{0, 11, 7, 23, 19\}$ the Apéry set of some numerical semigroup?

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Theorem

If $A = \{0, a_1, \dots, a_{m-1}\}$ with each $a_i > m$ and $a_i \equiv i \pmod{m}$, then there exists a numerical semigroup S with $\text{Ap}(S) = A$ if and only if

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Big idea: the inequalities “ $a_i + a_j \geq a_{i+j}$ ” to define a **cone** C_m .

Definition

The *Kunz cone* $C_m \subseteq \mathbb{R}^{m-1}$ is a pointed cone with defining inequalities

$$a_i + a_j \geq a_{i+j} \quad \text{whenever} \quad i + j \neq 0.$$

$$\begin{aligned} \{S \subseteq \mathbb{Z}_{\geq 0} : m(S) = m\} &\longrightarrow C_m \\ \text{Ap}(S) = \{0, a_1, \dots, a_{m-1}\} &\longmapsto (a_1, \dots, a_{m-1}) \end{aligned}$$

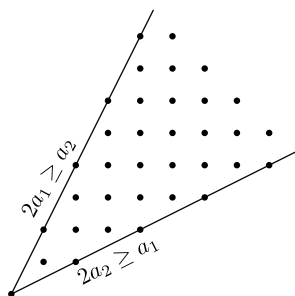
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Example: C_3



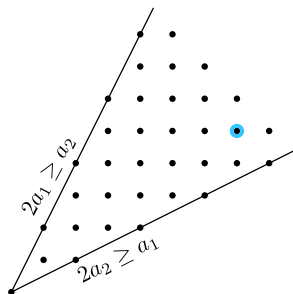
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$$S = \langle 3, 5, 7 \rangle$$

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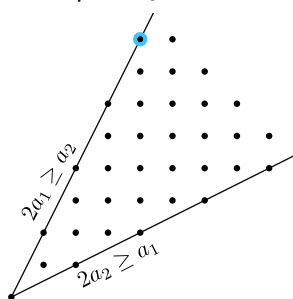
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$$\begin{aligned} S &= \langle 3, 5, 7 \rangle \\ \text{Ap}(S) &= \{0, 7, 5\} \end{aligned}$$

$$\begin{aligned} S &= \langle 3, 4 \rangle \\ \text{Ap}(S) &= \{0, 4, 8\} \end{aligned}$$

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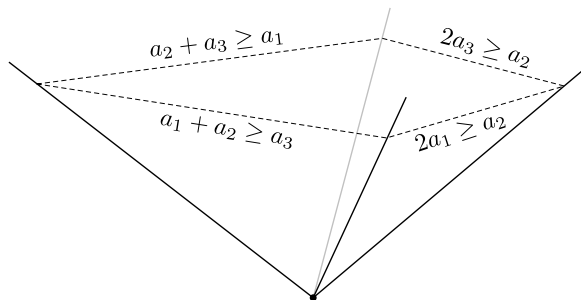
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Example: C_4



Question

When are numerical semigroups in (the relative interior of) the same face?

Faces of the Kunz cone

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First steps: $S \in \text{Int}(C_m)$ if and only if S has *max embedding dimension*

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What about the other faces?

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Example: $S = \langle 4, 10, 11, 13 \rangle$

$$\text{Ap}(S) = \{0, 13, 10, 11\}$$

$$a_1 = 13, \quad a_2 = 10, \quad a_3 = 11$$

$$2a_1 > a_2 \quad a_1 + a_2 > a_3$$

$$2a_3 > a_2 \quad a_2 + a_3 > a_1$$

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Faces of the Kunz cone

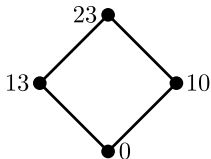
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Definition

The *Apéry poset* of S : define $a \preceq a'$ whenever $a' - a \in S$.

$$\text{Ap}(S) = \{0, 13, 10, 23\}$$



$$\text{Ap}(S) = \{0, 13, 26, 39\}$$



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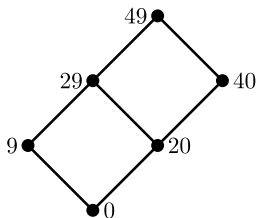
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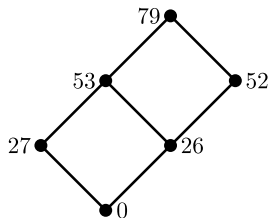
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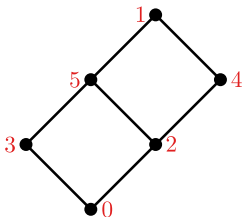
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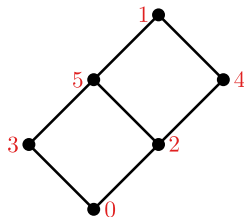
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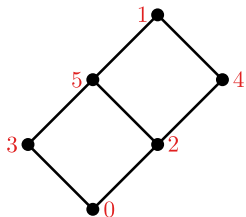
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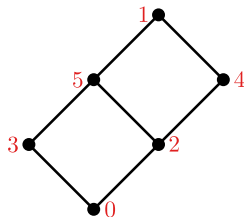
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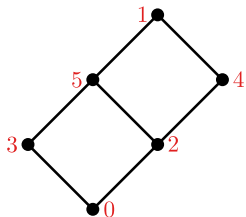
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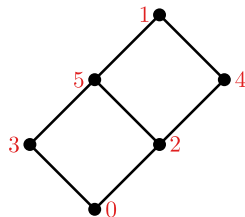
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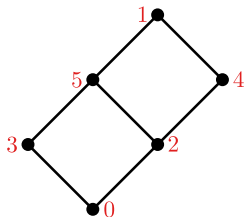
Numerical semigroups lie in the relative interior of the same face of C_m if and only if their Kunz posets are identical.

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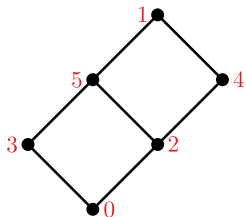
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Defining facet equations:

$$2a_2 = a_4$$

$$a_2 + a_3 = a_5$$

$$a_2 + a_5 = a_1$$

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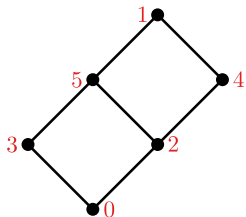
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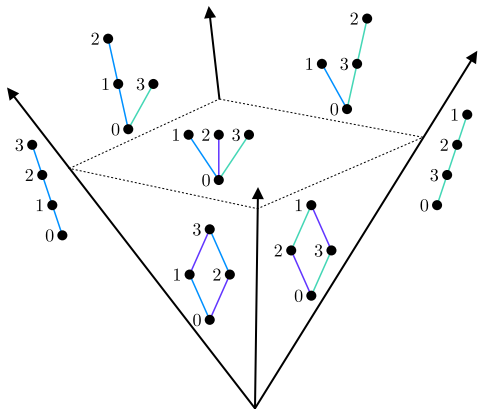
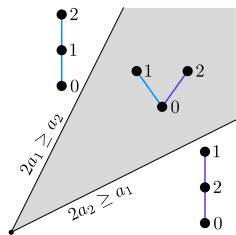
$$\begin{array}{ll} 2a_2 = a_4 & 2 \preceq 4 \\ a_2 + a_3 = a_5 & 2 \preceq 5 \\ & 3 \preceq 5 \\ a_2 + a_5 = a_1 & 2 \preceq 1 \\ & 5 \preceq 1 \\ a_3 + a_4 = a_1 & 3 \preceq 1 \\ & 4 \preceq 1 \end{array}$$

The *Kunz poset* of S : use ground set \mathbb{Z}_m instead of $\text{Ap}(S)$.

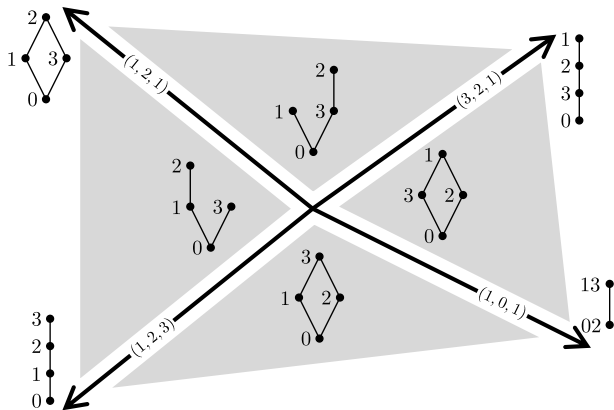
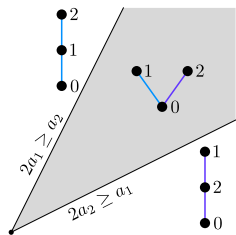
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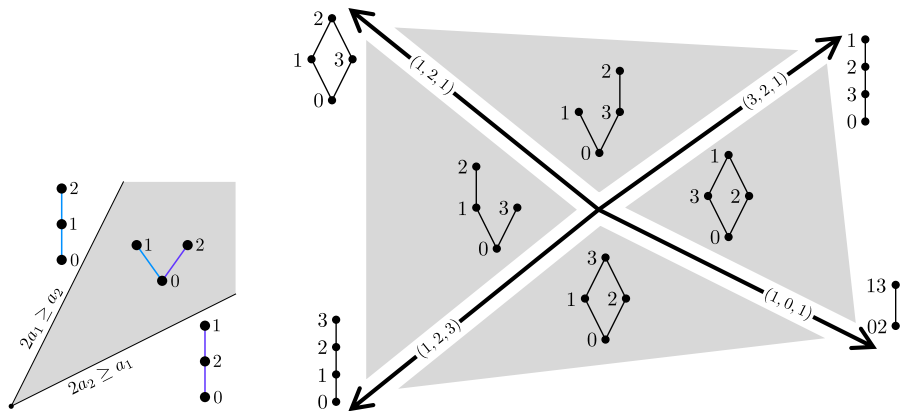
C_3 and C_4



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C_3 and C_4



Theorem (Kaplan–O.)

There is a natural labeling of the faces of C_m by finite posets.

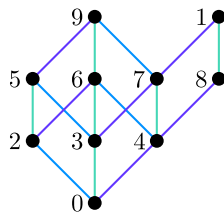
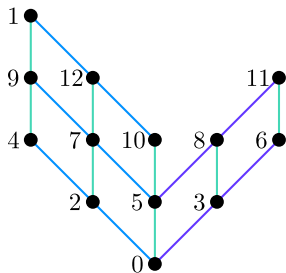
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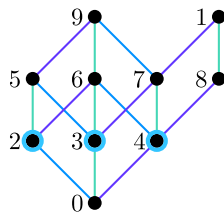
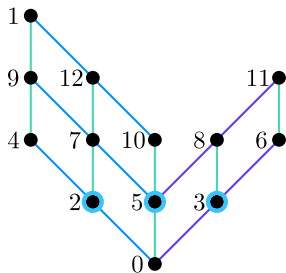
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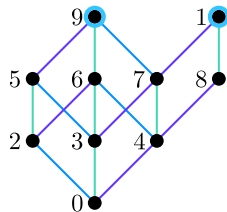
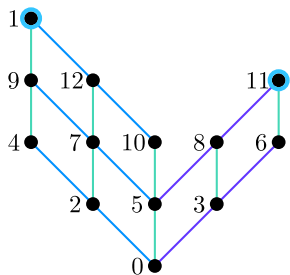
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- $t(S) = \#$ maximal elements
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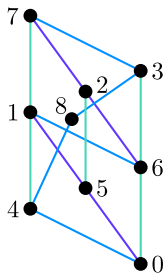
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$$S = \langle 4, 7 \rangle$$



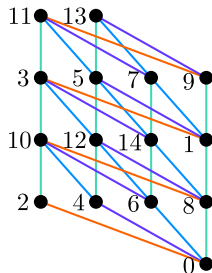
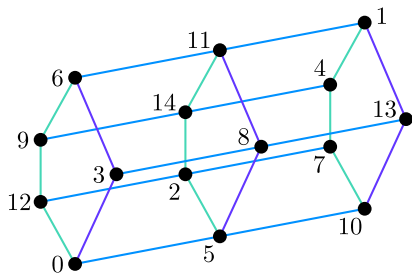
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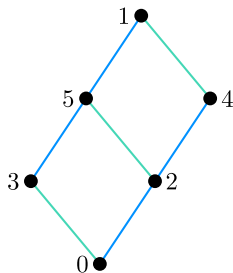
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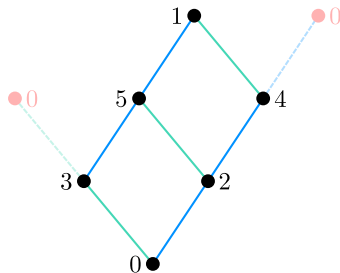
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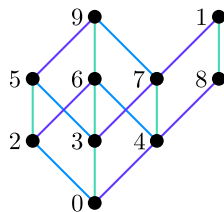
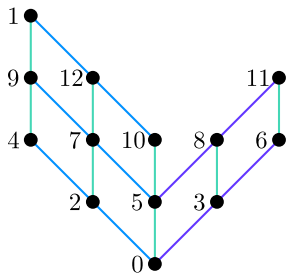
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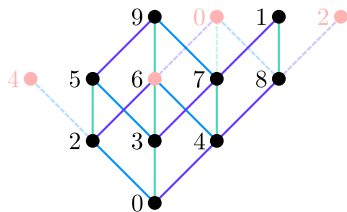
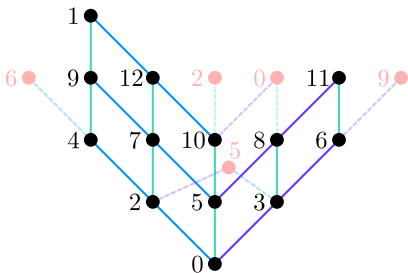
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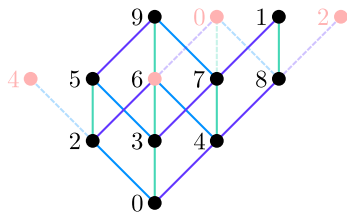
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$$S = \langle 10, a_2, a_3, a_4 \rangle$$

$$I_S = \langle x_2^2 - y^* x_4, x_2 x_4 - x_3^2, x_3^2 x_4 - y^*, x_4^3 - y^* x_2 \rangle$$

$$\subseteq \mathbb{k}[y, x_2, x_3, x_4]$$



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											1,[3]	2,[3]	3,[3]						
		1,12	1,13	2,12	2,23	3,13	3,23	2,13	3,12		1,12	1,13	2,12	2,23	3,13	3,13	3,13	3,13	
1,1	[$-x_2$	$-x_3$					y^*	y^*		x_3	$-y^*$							
2,2		$-y^*$		x_1	$-x_3$		y^*				$-x_2$		x_3	$-y^*$	y^*				
3,3						x_1	x_2	$-y^*$			$-y^*$	x_1		$-y^*$					
2,1		x_1		$-x_2$	y^*	y^*		$-x_3$			y^*				$-x_2$				
3,1		y^*	x_1			$-x_3$	$-y^*$		$-x_2$		$-y^*$	$-y^*$	x_1						
3,2			$-y^*$	$-y^*$	x_2		$-x_3$	x_1	x_1		$-x_1$			x_3					

$0 \leftarrow R \leftarrow R^6 \leftarrow \leftarrow \leftarrow \leftarrow \leftarrow \leftarrow \leftarrow \leftarrow \leftarrow \leftarrow R^8 \leftarrow \leftarrow \leftarrow \leftarrow \leftarrow R^3 \leftarrow 0$

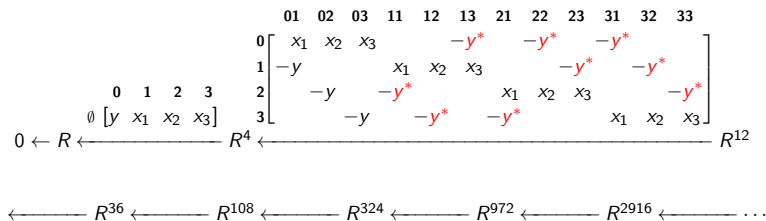
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Application 1: classifying minimal trades

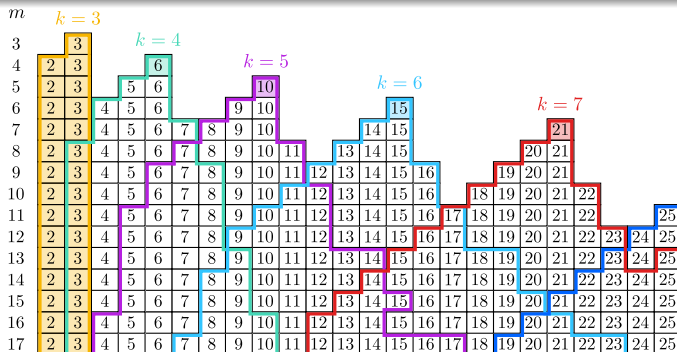
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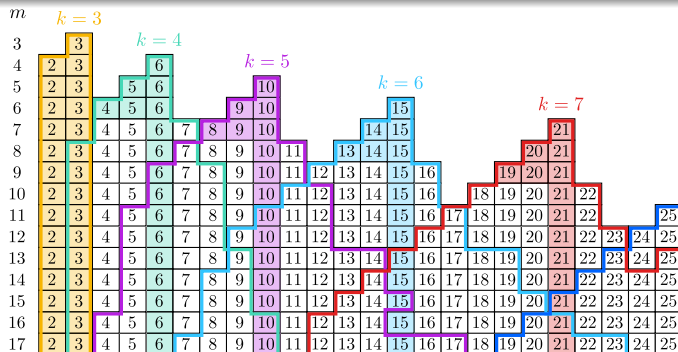


Well known: $\beta_1(S) \leq \binom{m}{2}$, with equality if and only if $k = m$
 if $k = 3$, then $\beta_1(S) = 2, 3$

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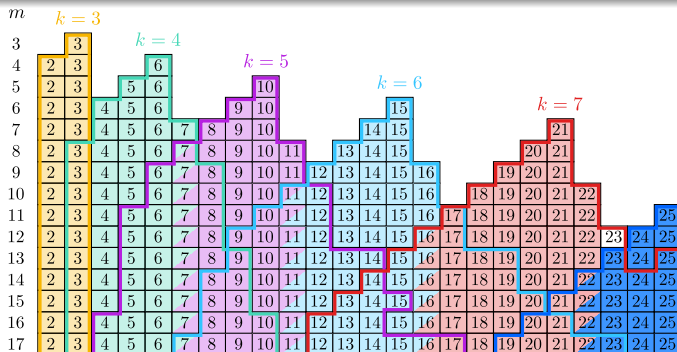
Prior work: a family has $\beta_1(S) = \binom{k}{2}$ for $3 \leq k \leq m$ (Rosales)

if $r = m - k \leq 2$, then $\beta_1(S) \in [\binom{k}{2} - r, \binom{k}{2}]$ (GS-R)

Application 1: classifying minimal trades

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Using Kunz posets: a family hits each $\beta_1(S) \in \left[\binom{k}{2} - r, \binom{k}{2} \right]$

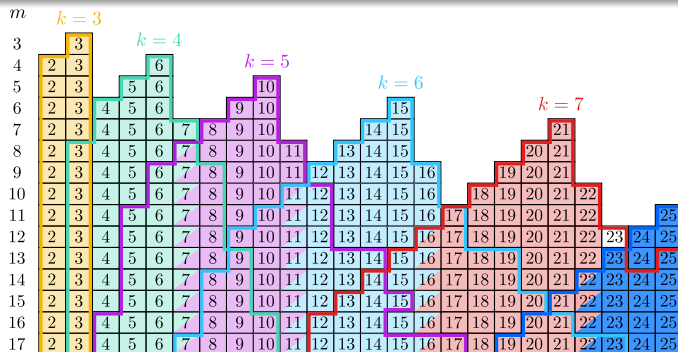
for $r = m - k \leq k - 2$

a family hits $\beta_1(S) = \binom{k}{2} + 1$ for each $m \geq k + 3$

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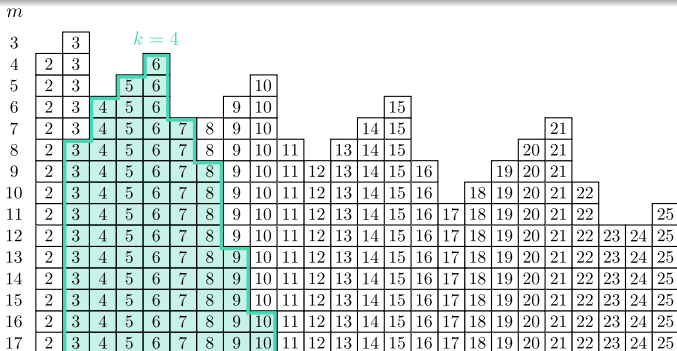


Bounds from Kunz posets: $\beta_1(S) \geq \binom{k}{2} - r$, where $r = m - k$
 if $m - k = 3$, then $\beta_1(S) \in [\binom{k}{2} - 3, \binom{k}{2} + 1]$

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One more family: for $k = 4$, achieves each $\beta_1(S)$ with $(\beta_1(S) - 2)^2 \leq 4m$ conjectured to achieve every possible $\beta_1(S)$ for $k = 4$

Application 2: a long-standing (**hard**) conjecture

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Wilf's Conjecture

For any $S = \langle n_1, \dots, n_k \rangle$, we have $F(S) + 1 \leq k(F(S) + 1 - g(S))$.

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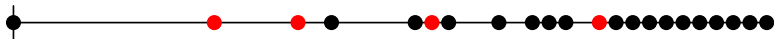
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Equivalently,

$$\frac{1}{k} \leq \underbrace{\frac{F(S) + 1 - g(S)}{F(S) + 1}}_{\% \text{ of } [0, F(S)] \text{ in } S}$$



Application 2: a long-standing (**hard**) conjecture

$$F(S) = \max(\mathbb{Z}_{\geq 0} \setminus S)$$

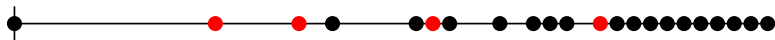
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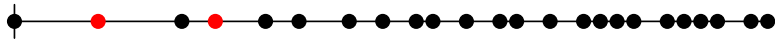
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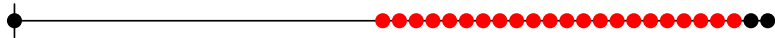


Equality holds when:

- $S = \langle a, b \rangle$



- $S = \langle m, m+1, \dots, 2m-1 \rangle$



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If S corresponds to $x = (a_1, \dots, a_{m-1}) \in C_m$,

$$g(S) = \|x\|_1 - \frac{1}{2}m(m-1), \quad F(S) = \|x\|_\infty - m,$$

and # generators k is determined by the face $F \subseteq C_m$ containing x .

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