

**Fall 2021, Math 596: Week 3 Problem Set**  
**Due: Thursday, September 16th, 2021**  
**Generating Functions - Deep Cuts**

**Discussion problems.** The problems below should be worked on in class.

(D1) *Recurrence relations.* For each of the following, (i) compute  $a_0, \dots, a_5$ , (ii) use generating functions to find a formula for  $a_n$  in terms of  $n$ , and (iii) verify your formula for  $n \leq 5$ .

(a)  $a_0 = 1, a_1 = 3, a_n = 3a_{n-2}$

(b)  $a_0 = 3, a_1 = 1, a_n = 2a_{n-1} + 3a_{n-2}$

(D2) *Power series derivatives.* Fix formal power series  $A(z)$  and  $B(z)$ . The goal of this problem is to prove some of the “derivative rules” from Calculus.

(a) Prove that if  $k \geq 1$ , then  $\frac{d}{dz}[(B(z))^k] = k(B(z))^{k-1}B'(z)$ .

Hint: this can be done without writing any sigma-sums, instead using product rule and induction on  $k$ .

(b) Prove that if  $B(z)$  has constant term **zero**, then  $\frac{d}{dz}[A(B(z))] = A'(B(z))B'(z)$ .

Hint: use part (a) and sigma-sums, but avoid writing “ $b_n$ ” at all costs.

(D3) *Generating functions of polynomials.* We saw in class that for each  $d \geq 0$ , there exists a polynomial  $Q_d(z)$  such that

$$\sum_{n \geq 0} n^d z^n = \frac{Q_d(z)}{(1-z)^{d+1}}.$$

(a) Write down  $Q_1(z)$ ,  $Q_2(z)$ , and  $Q_3(z)$  for reference (you may consult your notes).

(b) Using formal derivatives, obtain an expression for  $Q_d(z)$  in terms of  $Q_{d-1}(z)$ .

(c) Use your expression from part (a) to show that  $Q_d(z)$  has degree  $d$ .

(d) Use your expression from part (a) to show that  $Q_d(z)$  has no constant term.

(e) Find a formula for  $Q_d(1)$ . Use your expression from part (a) to verify this.

**Homework problems.** You must submit *all* homework problems in order to receive full credit.

(H1) Use generating functions to find a formula for  $a_n$  if  $a_0 = 1$  and  $a_n = 3a_{n-1} + 2^n$ .

(H2) Recall that the *Fibonacci sequence*  $f_n$  is defined by  $f_0 = 0$ ,  $f_1 = 1$ , and  $f_n = f_{n-1} + f_{n-2}$  for  $n \geq 2$ . Use generating functions to show that

$$f_n = \frac{1}{\sqrt{5}} \omega^n - \frac{1}{\sqrt{5}} \bar{\omega}^n,$$

where

$$\omega = \frac{1 + \sqrt{5}}{2} \quad \text{and} \quad \bar{\omega} = \frac{1 - \sqrt{5}}{2}$$

Hint: begin by verifying that  $1 - z - z^2 = (1 - \omega z)(1 - \bar{\omega} z)$ .

(H3) The goal of this problem is to prove the *quotient rule* for power series.

(a) Prove that if  $B(z)$  has a **nonzero** constant term, then

$$\frac{d}{dz} [(B(z))^{-1}] = \frac{-B'(z)}{(B(z))^2}.$$

Hint: to save a LOT of algebra, take the derivative of both sides of  $B(z)(B(z))^{-1} = 1$  using the product rule.

(b) Prove that if  $B(z)$  has a **nonzero** constant term, then

$$\frac{d}{dz} \left[ \frac{A(z)}{B(z)} \right] = \frac{A'(z)B(z) - A(z)B'(z)}{(B(z))^2}.$$

Hint: using part (a) and the product rule can save a LOT of algebra.

(H4) Resuming notation from Problem (D3), prove the coefficients of the positive-degree terms of  $Q_d(z)$  are positive integers.

**Challenge problems.** Challenge problems are not required for submission, but bonus points will be awarded for submitting a partial attempt or a complete solution.

(C1) Prove the coefficients of  $Q_d(z)$  are symmetric (that is, if we write  $Q_d(z) = a_d z^d + \cdots + a_1 z$ , then  $a_i = a_{d+1-i}$  for each  $i$ ).