Spring 2022, Math 621: Week 7 Problem Set Due: Thursday, March 17th, 2022 Ehrhart Polynomials and Ehrhart Series

Discussion problems. The problems below should be worked on in class.

- (D1) Computing Ehrhart functions. Find the Ehrhart function of each polytope without using Ehrhart's theorem.
 - (a) The lattice polygon $P = \text{conv}\{(1,0), (-1,0), (0,1), (0,-1)\} \subseteq \mathbb{R}^2$.
 - (b) The lattice simplex $P = \operatorname{conv}\{(0,0,0), (1,0,0), (0,1,0), (0,0,1)\} \subseteq \mathbb{R}^3$.
- (D2) A geometric proof of Ehrhart's theorem.
 - (a) We begin by considering the following theorem.

Theorem. Any rational cone $C \subset \mathbb{R}^d$ with linearly independent extremal ray vectors $r_1, \ldots, r_k \in \mathbb{Z}_{\geq 0}^d$ has (multivariate) power series

$$\sum_{p \in C \cap \mathbb{Z}^d} z^p = \frac{h(z)}{(1 - z^{r_1}) \cdots (1 - z^{r_k})}$$

for some polynomial h(z).

As is always **strongly** suggested, we begin with a couple of examples.

- (i) Let $C = \operatorname{span}_{\geq 0}\{(-\frac{1}{2}, 1), (2, 1)\} \subseteq \mathbb{R}^2_{\geq 0}$ denote the cone over $P = [-\frac{1}{2}, 2] \subseteq \mathbb{R}$. Find the multivariate power series for C. How is this related to the Ehrhart series for P? What role is the "fundamental parallelopiped" of C playing?
- (ii) Let $C \subseteq \mathbb{R}^3_{\geq 0}$ denote the cone over $P = \operatorname{conv}\{(0,0), (2,1), (3,2)\}$. Draw P, 2P, and 3P, and list a few integer points in each corresponding slice of C. Then find the multivariate power series for C and the Ehrhart series for P.

Now, outline a proof for the above theorem.

(b) Let $C \subset \mathbb{R}^3$ denote the cone over the unit square $P = [0,1]^2$. Divide P into two triangles by drawing in one of the two diagonals. This is called a *triangulation* of P. Using the above theorem, demonstate there is a polynomial h(z) such that

$$\operatorname{Ehr}(P; z) = \frac{h(z)}{(1-z)^3}$$

by writing Ehr(P; z) in terms of the Ehrhart series of 3 simplices.

(c) Use triangulation to find the Ehrhart series of

 $P = \operatorname{conv}\{e_1, -e_1, e_2, -e_2, e_3, -e_3\} \subseteq \mathbb{R}^3.$

Hint: utilize symmetry by dividing P into 8 tetrahedra.

(d) Briefly justify the following theorem (a classical result from polyhedral geometry) in the special case where P is an arbitrary rational polygon.

Theorem. Any rational polytope P can be written as the union of rational simplices T_1, \ldots, T_r such that (i) the vertices of each T_i coincide with vertices of P, (ii) each intersection $T_i \cap T_j$ is a face of both T_i and T_j , and (iii) $\operatorname{vol} T_1 + \cdots + \operatorname{vol} T_r = \operatorname{vol} P$.

(e) Putting everything together, outline a proof for Ehrhart's theorem: for any *d*-dimensional rational polytope *P*, we have

$$\operatorname{Ehr}(P;z) = \frac{h(z)}{(1-z^p)^{d+1}}$$

for some $p \ge 1$ and some polynomial h(z). How is the value of p determined from P?

Homework problems. You must submit *all* homework problems in order to receive full credit.

(H1) Prove f(n) is eventually quasipolynomial with period p and degree at most d if and only if

$$\sum_{n \ge 0} f(n) z^n = \frac{h(z)}{(1 - z^p)^{d+1}}$$

for some polynomial h(z).

(H2) Find the Ehrhart function and Ehrhart series of the rational polygon

$$P = \operatorname{conv}\{(1,0), (1,\frac{1}{2}), (\frac{1}{2},1)\} \subseteq \mathbb{R}^2.$$

(H3) Prove the following. You may use any theorems we have seen involving Ehrhart polynomials, including ones we have not (yet) proven.

Theorem (Pick's Theorem). For any lattice polygon P with I interior lattice points, B boundary lattice points, and area A, we have

$$A = I + \frac{1}{2}B - 1.$$

(H4) Find, for each $h \in \mathbb{Z}_{\geq 1}$, the Ehrhart polynomial and Ehrhart series of

$$P = \operatorname{conv}\{(0,0,0), (0,0,1), (0,1,0), (h,1,1)\}$$

(this is known as *Reeve's tetrahedron*). You may use any theorems we have seen involving Ehrhart polynomials, including ones we have not (yet) proven.

- (H5) Determine whether each of the following statements is true or false. Prove your assertions.
 - (a) Pick's theorem also holds for rational polygons.
 - (b) For each $h \ge 1$, there exists an integral polytope $P \subseteq \mathbb{R}^2$ such that

Ehr(P; z) =
$$\frac{1 + hz + z^2}{(1 - z)^3}$$
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